



**STATE OF ARIZONA  
CORPORATION COMMISSION  
CORPORATION ANNUAL REPORT  
& CERTIFICATE OF DISCLOSURE**



**DUE ON OR BEFORE** 06/12/2009

**FILING FEE** \$10.00

The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§10-121.A. & 10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions on page 4 for proper format.

1. 1042750-0  
Mountain Gate Community Association  
4645 E. COTTON GIN LOOP  
PHOENIX, AZ 85040

**RECEIVED**

**MAR 31 2009**

ARIZONA CORP COMMISSION  
CORPORATIONS DIVISION

**Business Phone:** \_\_\_\_\_ (Business phone is optional.)

**State of Domicile:** ARIZONA      **Type of Corporation:** NON-PROFIT

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2. **Statutory Agent:** PATTI GARVIN  
4645 E COTTON GIN LOOP  
PHOENIX, AZ 85040

Physical Address, If Different.

**MAY 07 2009**

ARIZONA CORP COMMISSION  
CORPORATIONS DIVISION

ACC USE ONLY	
Fee	\$ _____
Penalty	\$ _____
Reinstate	\$ _____
Expedite	\$ _____
Resubmit	\$ _____

*Use this box only if appointing a new Statutory Agent*

*If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.*

*I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.*

\_\_\_\_\_  
Signature of new Statutory Agent

\_\_\_\_\_  
Printed Name of new Statutory Agent

3. **Secondary Address:**

(Foreign Corporations are **REQUIRED** to complete this section).

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

**BUSINESS CORPORATIONS**

- 1. Accounting
- 2. Advertising
- 3. Aerospace
- 4. Agriculture
- 5. Architecture
- 6. Banking/Finance
- 7. Barbers/Coasmatology
- 8. Construction
- 9. Contractor
- 10. Credit/Collection
- 11. Education
- 12. Engineering
- 13. Entertainment
- 14. General Consulting
- 15. Health Care
- 16. Hotel/Motel
- 17. Import/Export
- 18. Insurance
- 19. Legal Services
- 20. Manufacturing
- 21. Mining
- 22. News Media
- 23. Pharmaceutical
- 24. Publishing/Printing
- 25. Ranching/Livestock
- 26. Real Estate
- 27. Restaurant/Bar
- 28. Retail Sales
- 29. Science/Research
- 30. Sports/Sporting Events
- 31. Technology(Computers)
- 32. Technology(General)
- 33. Television/Radio
- 34. Tourism/Convention Services
- 35. Transportation
- 36. Utilities
- 37. Veterinary Medicine/Animal Care
- 38. Other \_\_\_\_\_

**NON-PROFIT CORPORATIONS**

- 1. Charitable
- 2. Benevolent
- 3. Educational
- 4. Civic
- 5. Political
- 6. Religious
- 7. Social
- 8. Literary
- 9. Cultural
- 10. Athletic
- 11. Science/Research
- 12. Hospital/Health Care
- 13. Agricultural
- 14. Animal Husbandry
- 15. Homeowner's Association
- 16. Professional, commercial industrial or trade association
- 17. Other \_\_\_\_\_

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**5. CAPITALIZATION:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

5a. Please examine the corporation's original Articles of Incorporation for the amount of shares authorized.

Number of Shares/Certificates Authorized	Class	Series Within Class (if any)
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5b. Review all corporation amendments to determine if the original number of shares has changed. Examine the corporation's minutes for the number of shares issued.

Number of Shares/Certificates Issued	Class	Series Within Class (if any)
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**6. SHAREHOLDERS:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

NONE

Name: _____	Name: _____
Name: _____	Name: _____

**7. OFFICERS**

Name: Rochel Heimann

Title: Secretary

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

Name: Jeff Hulgán

Title: Vice President

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

Name: Bryan Goetzenberger

Title: Treasurer

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

Name: Robert E. Briscoe, Jr.

Title: President

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

**8. DIRECTORS**

Name: Rochel Heimann

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

Name: Bryan Goetzenberger

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

Name: James Adkison

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

Name: Jeff Hulgán

Address: 4645 East Cotton Gin Loop  
Phoenix, AZ 85040

Date taking office: 11/28/2007

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**5. CAPITALIZATION:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

5a. Please examine the corporation's original Articles of Incorporation for the amount of **shares authorized**.

Number of Shares/Certificates <b>Authorized</b>	Class	Series Within Class (if any)
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5b. Review all corporation amendments to determine if the original number of shares has changed. Examine the corporation's minutes for the number of **shares issued**.

Number of Shares/Certificates <b>Issued</b>	Class	Series Within Class (if any)
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**6. SHAREHOLDERS:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

NONE

Name: _____	Name: _____
Name: _____	Name: _____

**7. OFFICERS**

Name: _____	Name: _____
Title: _____	Title: _____
Address: _____	Address: _____
_____	_____
Date taking office: _____	Date taking office: _____
Name: _____	Name: _____
Title: _____	Title: _____
Address: _____	Address: _____
_____	_____
Date taking office: _____	Date taking office: _____

**8. DIRECTORS**

Name: <u>Robert E. Briscoe, Jr.</u>	Name: _____
Address: <u>4645 East Cotton Gin Loop</u>	Address: _____
<u>Phoenix, AZ 85040</u>	_____
Date taking office: <u>11/28/2007</u>	Date taking office: _____
Name: _____	Name: _____
Address: _____	Address: _____
_____	_____
Date taking office: _____	Date taking office: _____



**Mountain Gate Community Association**  
**Balance Sheet**  
**As of 12/31/2008**

**ASSETS**

<b>CURRENT ASSETS</b>		
Oper-CAB-0.30%	\$	27,166.98
Oper-CDARS--1.95%-12/18/08		27,519.19
Oper-CAB-LQ CD-3.95%-09/28/09		3,954.24
RSV-CAB-M/M-1.55%		106,356.90
RSV -CDAR -VAR-1/8/09		284,062.41
RSV-CAB-MM-0.85%		474.71
RSV-CAB-CD-3.69%-01/09/09		35,660.08
RSV-CDAR-0.60%-1/2/09		22,072.43
CC&R-Compl-CAB-M/M-1.55%		6,676.77
RSV-CDARS-VAR		128,757.45
RSV-CAB-CD-4.55%-1/9/09		58,416.83
W/C-CAB-LQ CD-3.59%-09/28/09		43,400.29
W/C-CDARS-VAR		96,696.22
W/C-CAB-M/M-1.55%		858.66
W/C CDAR-2.64%-1/8/09		28,367.10
Assessment Receivable		73,732.74
Other Receivable		97,201.36
Interest Receivable - Reserve		252.33
Interest Receivable - Oper		1.17
Prepaid Income Taxes		8,599.00
Prepaid Insurance 4/14/09		4,054.68
Deposits Paid		1,635.00
<b>Subtotal CURRENT ASSETS</b>	<b>\$</b>	<b>1,055,916.54</b>
<b>TOTAL ASSETS</b>	<b>\$</b>	<b>1,055,916.54</b>

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CORPORATIONS DIVISION

**LIABILITIES & EQUITY**

<b>CURRENT LIABILITIES</b>		
Accounts Payable	\$	45,054.99
Accrued Income Tax		8,317.00
Prepaid Assessments		36,428.22
<b>Subtotal CURRENT LIABILITIES</b>	<b>\$</b>	<b>89,800.21</b>
<b>RESERVES</b>		
Reserve per RSV Study 8/10/06	\$	618,319.44
Working Capital		162,681.68
CC&R Compl-Administrative		6,596.02
Interest-Reserves		17,720.89
Interest-Working Capital		6,653.40
Interest-CC&R Compliance		80.75
<b>Subtotal RESERVES</b>	<b>\$</b>	<b>812,052.18</b>
<b>EQUITY</b>		
Retained Earnings	\$	156,706.21
Current Year Net Income/(Loss)		(2,642.06)
<b>Subtotal EQUITY</b>	<b>\$</b>	<b>154,064.15</b>



TOTAL LIABILITIES & EQUITY

\$

1,055,916.54

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MAY 07 2009

ARIZONA CORP. COMMISSION  
CORPORATIONS DIVISION



**COMMISSIONERS**  
KRISTINK MAYES - Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP



MICHAEL P. KEARNS  
Interim Executive Director  
  
LINDA FISHER  
Director, Corporations Division

**ARIZONA CORPORATION COMMISSION**

CORPORATIONS DIVISION  
1300 West Washington  
Phoenix, Arizona 85007-2929

**MOUNTAIN GATE COMMUNITY ASSOCIATION**  
4645 E COTTON GIN LOOP

PHOENIX, AZ 85040

Effective Date: 04/30/2009  
File No: -1042750-0

Original Due Date: June 12, 2009

Received: 03/31/09

We have deposited your check, however your annual report is being returned for the following reason(s):

Section 12 signature requirements are as follows:  
Corporations - the signer shall be a duly authorized officer listed in section 7 on page 2. We can not accept this report unless officer signs the report and prints name, title, and the date. (Signature will not be accepted unless dated).

**IMPORTANT INFORMATION**

Please note: This annual report has not been approved, it is being returned to you for corrections which are listed above. If you wish to avoid additional penalties and possible administrative dissolution, this report must be returned within 30 days after the effective date of this notice to be deemed timely filed. Refer to A.R.S. 10-1622.F for more information.

To successfully process your document, it is important for you to return:

- 1) A copy of this letter.
- 2) All annual report(s) which accompanied this letter (with corrections made).
- 3) Filing fee, penalties, or reinstatement fee, if due.
- 4) Additional forms if required.

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CORPORATIONS DIVISION

AR: 0021  
REV. 12/2008



**9. FINANCIAL DISCLOSURE (A.R.S. §10-11622.A.9)**

Nonprofit corporations must attach a financial statement (e.g. income/expense statement, balance sheet including assets, liabilities). All other forms of corporations are exempt from filing a financial disclosure.

**9A. MEMBERS (A.R.S. § 10-11622.A.6)**

**Only Nonprofit Corporations must answer this question.** This corporation **DOES**  **DOES NOT**  have members.

**10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)**

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and/or person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: [Underlined portion pertains to business corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:
  - (a) fraud or registration provisions of the securities laws of that jurisdiction, or
  - (b) the consumer fraud laws of that jurisdiction, or
  - (c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked: YES  NO

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- |   |   |
|---|---|
| 1. Full name and prior names used.                          | 5. Date and location of birth.  |
| 2. Full birth name.   | 6. Social Security Number   |
| 3. Present home address.                                    | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). |   |

**11. STATEMENT OF BANKRUPTCY, RECEIVERSHIP or CHARTER REVOCATION (A.R.S. §§10-202.D.2, 10-3202.D.2, 10-1623 & 10-11623)**

A) Has the corporation filed a petition for bankruptcy or appointed a receiver? One box must be marked: YES  NO

B) Has any person serving as an officer, director, trustee or incorporator of the corporation served in any such capacity OR held or controlled over 20% of the issued and outstanding common shares, or 20% of any other proprietary, beneficial or membership interest in any other corporation which has been placed in bankruptcy, receivership or had its charter revoked, or administratively or judicially dissolved by any state or jurisdiction?

[Underlined portion pertains to business corporations only] One box must be marked: YES  NO

If "YES" to A and/or B, the following information must be submitted as an attachment to this report for each person subject to the statement above.

1. The names and addresses of each corporation and the person or persons involved. (e.g. officer, director, trustee or major stockholder)
2. The state in which each corporation was a) incorporated b) transacted business.
3. The dates of corporate operation.
4. If any involved person (listed in #1) has been involved in any other bankruptcy proceeding within the past year, the name and address of each corporation.
5. Date, Case number and Court where the bankruptcy was filed or receiver appointed.
6. Name and address of court appointed receiver.

**12. SIGNATURES: Annual Reports must be signed and dated by at least one duly authorized officer or they will be rejected.**

I declare, under penalty of law that all corporate income tax returns required by Title 43 of the Arizona Revised Statutes have been filed with the Arizona Department of Revenue. I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name [Signature] Date 3-26-09 Name \_\_\_\_\_ Date \_\_\_\_\_  
 Signature Bryan Gortzenberger Signature \_\_\_\_\_  
 Title Treasurer Title \_\_\_\_\_

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)

