



**STATE OF ARIZONA  
CORPORATION COMMISSION  
CORPORATION ANNUAL REPORT  
& CERTIFICATE OF DISCLOSURE**



**DUE ON OR BEFORE 04/22/1998**

**FILING FEE \$10.00**

The following information is required by A.R.S. §10-1622 & §10-2501 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-2545.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. See instructions for proper format. **REFER TO THE INSTRUCTIONS ON PAGE 4.**

1. CATHEDRAL PINES COMMUNITY ASSOCIATION, INC.  
1400 ESCALANTE LN  
PRESCOTT, AZ 86303

**RECEIVED**

**MAR 26 1998**

ARIZONA CORP. COMMISSION  
CORPORATIONS DIVISION

-0229700-2

Business Phone: \_\_\_\_\_ (Business phone is optional.)  
State of Domicile: ARIZONA      Type of Corporation: NON-PROFIT

2. Arizona Statutory Agent: THOMAS P KELLIHER  
Street Address: 1401 ESCALANTE LN  
(NOT P.O. BOX) Prescott  
City, State, Zip: PRESCOTT AZ 86303-

<b>ACC USE ONLY</b>	
Fee	\$/0
Penalty	\$
Reinstate	\$
Expedite	\$
Total	\$/0
F.Y97-98	



*If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.*

*I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.*

\_\_\_\_\_  
Signature

3. Secondary Address:  
(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- \_\_\_ 1. Accounting
- \_\_\_ 2. Advertising
- \_\_\_ 3. Aerospace
- \_\_\_ 4. Agriculture
- \_\_\_ 5. Architecture
- \_\_\_ 6. Banking/Finance
- \_\_\_ 7. Barbers/Cosmetology
- \_\_\_ 8. Construction
- \_\_\_ 9. Contractor
- \_\_\_ 10. Credit/Collection
- \_\_\_ 11. Education
- \_\_\_ 12. Engineering
- \_\_\_ 13. Entertainment
- \_\_\_ 14. General Consulting
- \_\_\_ 15. Health Care
- \_\_\_ 16. Hotel/Motel
- \_\_\_ 17. Import/Export
- \_\_\_ 18. Insurance
- \_\_\_ 19. Legal Services
- \_\_\_ 20. Manufacturing
- \_\_\_ 21. Mining
- \_\_\_ 22. News Media
- \_\_\_ 23. Pharmaceutical
- \_\_\_ 24. Publishing/Printing
- \_\_\_ 25. Ranching/Livestock
- \_\_\_ 26. Real Estate
- \_\_\_ 27. Restaurant/Bar
- \_\_\_ 28. Retail Sales
- \_\_\_ 29. Science/Research
- \_\_\_ 30. Sports/Sporting Events
- \_\_\_ 31. Technology(Computers)
- \_\_\_ 32. Technology(General)
- \_\_\_ 33. Television/Radio
- \_\_\_ 34. Tourism/Convention Services
- \_\_\_ 35. Transportation
- \_\_\_ 36. Utilities
- \_\_\_ 37. Veterinary Medicine/Animal Care
- \_\_\_ 38. Other \_\_\_\_\_

NON-PROFIT CORPORATIONS

- 1. \_\_\_ Charitable
- 2. \_\_\_ Benevolent
- 3. \_\_\_ Educational
- 4. \_\_\_ Civic
- 5. \_\_\_ Political
- 6. \_\_\_ Religious
- 7. \_\_\_ Social
- 8. \_\_\_ Literary
- 9. \_\_\_ Cultural
- 10. \_\_\_ Athletic
- 11. \_\_\_ Science/Research
- 12. \_\_\_ Hospital/Health Care
- 13. \_\_\_ Agricultural
- 14. \_\_\_ Animal Husbandry
- 15. \_\_\_ Homeowner's Association
- 16. \_\_\_ Professional, commercial industrial or trade association
- 17. \_\_\_ Other \_\_\_\_\_

5. CAPITALIZATION: (Business Corporations and Business Trusts are REQUIRED to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized Class Series Within Class (if any)

Number of Shares/Certificates Issued Class Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are REQUIRED to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: Name: NONE NONE Name: Name:

7. OFFICERS (If no changes since last report, check here and go on to Section 8.)

Name: THOMAS P KELLIHER Name: RONALD HANCOCK DOROTHY MORRIS Title: PRESIDENT/CEO 1ST VICE PRESIDENT Title: 2ND VICE-PRESIDENT Address: 1401 ESCALANTE LN Address: 1391 ESCALANTE DR. 1510 JACK PINE RD. PRESCOTT, AZ 86303- PRESCOTT, AZ 86303-

Date taking office: 01-17-98 Date taking office: 01-18-97 2-4-98 Name: DONALD CARTER Name: MARY VANDERMARCK KEITH RUPPERT Title: SECRETARY PRESIDENT Title: SEC - TREASURER Address: 1412 ESCALANTE DR Address: 1403 E. VALLEY VIEW RD. 1559 SCOTCH PINE DR. PRESCOTT, AZ 86303- PRESCOTT, AZ 86303-

Date taking office: 01-17-98 Date taking office: 01-17-98

8. DIRECTORS (If no changes since last report, check here and go on to Section 9.)

Name: RONALD HANCOCK DOROTHY MORRIS Name: MARY VANDERMARCK KEITH RUPPERT Address: 1610 JACK PINE RD 1391 ESCALANTE DR. Address: 1559 SCOTCH PINE DR. 1403 E. VALLEY VIEW RD. PRESCOTT, AZ 86303- PRESCOTT, AZ 86303-

Date taking office: 02-04-98 Date taking office: 01-17-98 Name: DONALD CARTER Name: THOMAS P KELLIHER Address: 1412 ESCALANTE DR Address: 1401 ESCALANTE LN PRESCOTT, AZ 86303- PRESCOTT, AZ 86303-

Date taking office: 01-11-96 Date taking office: 01-11-96

BALANCE SHEET

ASSETS

Current Assets:

Cash \$ \_\_\_\_\_  
Trade notes and accounts receivable  
(less allowance for bad debts) \_\_\_\_\_  
Inventories \_\_\_\_\_  
Other current assets \_\_\_\_\_

Total Current Assets \$ 0

Land, buildings and other fixed assets  
(net of accumulated depreciation) \_\_\_\_\_

Other assets \_\_\_\_\_

Total Assets \$ \_\_\_\_\_

LIABILITIES

Current Liabilities:

Accounts Payable \$ \_\_\_\_\_  
Mortgages, notes, bonds (payable in less than 1 year) \_\_\_\_\_  
Other current liabilities \_\_\_\_\_

Total Current Liabilities 0

Mortgages, notes, bonds (payable in more than 1 year) \_\_\_\_\_

Fund Balances:  
Restricted \_\_\_\_\_  
Unrestricted \_\_\_\_\_

Total Fund Balances \_\_\_\_\_

Total Liabilities and Fund Balances \$ \_\_\_\_\_

**9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-2501.A.6)**

Only corporations that meet one or more of the following criteria must attach a financial statement (balance sheet including assets, liabilities and equity). The corporation is: 1) a public service corporation (e.g., public utility) as defined in Article XV, Section 2, Constitution of Arizona. 2) offers its stock for sale in transactions that are not exempt from A.R.S. §§ 44-1841 and 44-1842 as prescribed in §44-1844.A.1 (e.g., publicly traded). 3) a nonprofit corporation. All other forms of corporations are exempt from filing a financial disclosure.

**10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-2505.A)**

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:
  - (a) fraud or registration provisions of the securities laws of that jurisdiction, or
  - (b) the consumer fraud laws of that jurisdiction, or
  - (c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked: YES  NO

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- |   |   |
|---|---|
| 1. Full name and prior names used.                          | 5. Date and location of birth.  |
| 2. Full birth name.   | 6. Social Security Number   |
| 3. Present home address.                                    | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). |   |

**11. STATEMENT OF BANKRUPTCY (A.R.S. §10-202.D.2)**

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation?

One box must be marked: YES  NO

If YES, enter the following: Chapter \_\_\_\_\_ Date Filed \_\_\_\_\_ Case Number \_\_\_\_\_

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above.

- 1) The names and addresses of each corporation and the person or persons involved.
- 2) The state in which each corporation was a) incorporated b) transacted business.
- 3) The dates of corporate operation.

**12. CAUTION: Signature requirements vary according to the type of corporation. See the instruction sheet for specific rules. Annual Reports submitted with incorrect signatures will be rejected.**

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name <u>KEITH RUPPERT</u>	Date <u>3/24/98</u>	Name <u>DONALD H. CARTER</u>	Date <u>3/24/98</u>
Signature <u>Keith A. Ruppert</u>		Signature <u>Donald H. Carter</u>	
Title <u>SECRETARY-TREASURER</u>		Title <u>President</u>	

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)