STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission

00398735

DUE ON OR BEFORE 04/12/2002 **FY01-02** **FILING FEE $10.00**

The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§10-121.A. & 10-3121.A. YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

RECEIVED
FEB 2 8 2002
ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

1. -0192384-0
CASTLE ROCK HOMEOWNERS ASSOCIATION, INC.
% STRATFORD MANAGEMENT
PO BOX 40790
TUCSON, AZ 85717

Business Phone: 795-6550 (Business phone is optional.)
State of Domicile: **ARIZONA** Type of Corporation: **NON-PROFIT**

2. Arizona Statutory Agent: **DAVID A MCEVOY**
Street Address: **2701 E SPEEDWAY BLVD #101**
City, State, Zip: **TUCSON, AZ 85716**

3/4/02 ***Use this box only if appointing a new Statutory Agent***

If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

_____
Signature of *new* Statutory Agent

ACC USE ONLY
Fee $ 10
Penalty $_____
Reinstate $_____
Expedite $_____
Resubmit $_____

3586 P3

3. Secondary Address:
(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS
__ 1. Accounting
__ 2. Advertising
__ 3. Aerospace
__ 4. Agriculture
__ 5. Architecture
__ 6. Banking/Finance
__ 7. Barbers/Cosmetology
__ 8. Construction
__ 9. Contractor
__ 10. Credit/Collection
__ 11. Education
__ 12. Engineering
__ 13. Entertainment
__ 14. General Consulting
__ 15. Health Care
__ 16. Hotel/Motel
__ 17. Import/Export
__ 18. Insurance
__ 19. Legal Services
__ 20. Manufacturing
__ 21. Mining
__ 22. News Media
__ 23. Pharmaceutical
__ 24. Publishing/Printing
__ 25. Ranching/Livestock
__ 26. Real Estate
__ 27. Restaurant/Bar
__ 28. Retail Sales
__ 29. Science/Research
__ 30. Sports/Sporting Events
__ 31. Technology(Computers)
__ 32. Technology(General)
__ 33. Television/Radio
__ 34. Tourism/Convention Services
__ 35. Transportation
__ 36. Utilities
__ 37. Veterinary Medicine/Animal Care
__ 38. Other _____

NON-PROFIT CORPORATIONS
1. __ Charitable
2. __ Benevolent
3. __ Educational
4. __ Civic
5. __ Political
6. __ Religious
7. __ Social
8. __ Literary
9. __ Cultural
10. __ Athletic
11. __ Science/Research
12. __ Hospital/Health Care
13. __ Agricultural
14. __ Animal Husbandry
15. X Homeowner's Association
16. __ Professional, commercial industrial or trade association
17. __ Other_____

The Lakes at Castle Rock Homeowners Association

2002 Board of Directors

Name	**Address**	**Date of Election**
Pres. Lee Smith	2255 N. Quail Lake Place Tucson, AZ 85749	September 2001
V.P. Terry Kellman	2416 N. Diamond Lake Drive Tucson, AZ 85749	January 2001
Sec. Mel Silverman	9141 E. Mesquite Court Tucson, AZ 85749	January 2001
Treas. Jack Hasten	8030 E. Paseo De Beatriz Tucson, AZ 85750-2849	January 2001
Board Directors:		
Norma Brewster	2326 N. Emerald Lake Ct. Tucson, AZ 85749	January 2001
Roy Erickson	9567 E. Creek Vista Place Tucson, AZ 85749	January 2002
Theresa Collins	2690 N. Castle Rock Drive Tucson, AZ 85749	September 2001

20/02

Castle Rock Homeowners Association
Profit & Loss Budget vs. Actual
January 2002

	Jan 02	Budget	$ Over B...	% of Bud...
Ordinary Income/Expense				
Income				
Estate Assessment	6,463.80	6,463.80	0.00	100.0%
Standard Assessment	36,005.76	36,101.52	-95.76	99.7%
Special Assessment	2,026.92	2,026.92	0.00	100.0%
Lot Assessment	33.52	0.00	33.52	100.0%
Late Fees	306.15	0.00	306.15	100.0%
Bank Interest	38.16	0.00	38.16	100.0%
Total Income	44,874.31	44,592.24	282.07	100.6%
Expense				
Dumpster Fees	223.00	1,000.00	-777.00	22.3%
Gate/Gatehouse				
Data Maintenance	0.00	194.00	-194.00	0.0%
Gate Maintenance	463.00	421.00	42.00	110.0%
Gate Repairs	0.00	350.00	-350.00	0.0%
Total Gate/Gatehouse	463.00	965.00	-502.00	48.0%
General & Administrative				
Bank Service Charges	35.00	8.00	27.00	437.5%
Facility Supervisor	160.00	160.00	0.00	100.0%
General Liability Insurance	600.00	600.00	0.00	100.0%
Management Contract	3,017.00	3,017.00	0.00	100.0%
Miscellaneous Exp.	-412.00	0.00	-412.00	100.0%
Newsletter/Mailings	-25.00	646.50	-671.50	-3.9%
Permits/Fees	115.32	170.00	-54.68	67.8%
Professional Service	2,739.94	600.00	2,139.94	456.7%
Supplies	0.00	250.00	-250.00	0.0%
Taxes	280.00	85.00	195.00	329.4%
Total General & Administrative	6,510.26	5,536.50	973.76	117.6%
General Repairs	210.00	860.00	-650.00	24.4%
Janitorial Service	500.00	520.00	-20.00	96.2%
Lakes				
Lake Maintenance	1,067.13	1,000.00	67.13	106.7%
Pump Maintenance	800.00	800.00	0.00	100.0%
Total Lakes	1,867.13	1,800.00	67.13	103.7%
Landscape				
Landscape Maintenance Contract	9,857.69	9,907.00	-49.31	99.5%
Other Landscape Expense	0.00	1,500.00	-1,500.00	0.0%
Total Landscape	9,857.69	11,407.00	-1,549.31	86.4%
Pest Control	938.00	0.00	938.00	100.0%
Pool				
Pool Repairs	0.00	210.00	-210.00	0.0%
Pool Service	448.13	700.00	-251.87	64.0%
Total Pool	448.13	910.00	-461.87	49.2%
Security				
Gate Attendant	740.96	0.00	740.96	100.0%
Patrols	1,510.35	1,500.00	10.35	100.7%
Security Monitoring	189.00	100.00	89.00	189.0%
Total Security	2,440.31	1,600.00	840.31	152.5%
Street Sweeping	540.00	540.00	0.00	100.0%
Utilities				
Electric	2,689.01	3,090.00	-400.99	87.0%
Gas	1,572.20	515.00	1,057.20	305.3%
Phone	223.73	241.00	-17.27	92.8%
Water	437.19	640.00	-202.81	68.3%
Total Utilities	4,922.13	4,486.00	436.13	109.7%
Well Inspections	360.00	386.50	-26.50	93.1%
Total Expense	29,279.65	30,011.00	-731.35	97.6%

Castle Rock Homeowners Association
Balance Sheet
As of January 31, 2002

	Jan 31, 02
ASSETS	
Current Assets	
Checking/Savings	
Cash Operating Account	88,700.00
Key Bank Reserve Acct.	26,618.61
Reserve Account	20,351.25
Total Checking/Savings	135,669.86
Accounts Receivable	
Accounts Receivable	12,523.41
Allowance for Doubtful Acct.	-1,783.54
Total Accounts Receivable	10,739.87
Total Current Assets	146,409.73
Other Assets	
Pre-Paid Insurance	3,791.25
Suspense	-98.32
Utility Deposit	3,169.00
Total Other Assets	6,861.93
TOTAL ASSETS	**153,271.66**
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	5,425.94
Total Accounts Payable	5,425.94
Other Current Liabilities	
Pre-Paid Assessments	36,391.73
Total Other Current Liabilities	36,391.73
Total Current Liabilities	41,817.67
Total Liabilities	41,817.67
Equity	
Prior Years Equity	2,024.51
Retained Earnings	100,834.82
Net Income	8,594.66
Total Equity	111,453.99
TOTAL LIABILITIES & EQUITY	**153,271.66**

019-23846

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)

Nonprofit corporations **must attach** a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only.

This corporation **does** ☒ **does not** ☐ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: **[Underlined portion pertains to profit corporations only]**

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

   (a) fraud or registration provisions of the securities laws of that jurisdiction, or
   (b) the consumer fraud laws of that jurisdiction, or
   (c) the antitrust or restraint of trade laws of that jurisdiction?

One box **must** be marked. **YES** ☐ **NO** ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

1. Full name and prior names used.
2. Full birth name.
3. Present home address.
4. Prior addresses (for immediate preceding 7 year period).
5. Date and location of birth.
6. Social Security Number
7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case.

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? **[Underlined portion pertains to profit corporations only]**

One box **must** be marked. **YES** ☐ **NO** ☒

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above. 1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was a) incorporated b) transacted business. 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name LEE SMITH **Date** 2/20/02 **Name** _____ **Date** _____

Signature Lee Smith **Signature** _____

Title PRESIDENT **Title** _____

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)