STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission

00134961

DUE ON OR BEFORE 04/12/2000 **FY99-00** **FILING FEE** $10.00

The following information is required by A.R.S. §10-1622 & §10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-3121.A. YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

-0192384-0

1. CASTLE ROCK HOMEOWNERS ASSOCIATION, INC.
% STRATFORD MANAGEMENT
PO BOX 40790
TUCSON, AZ 85717

RECEIVED
APR 13 2000
ARIZONA CORP COMMISSION
CORPORATIONS DIVISION

Business Phone: 795-6500 (Business phone is optional.)
State of Domicile: **ARIZONA** Type of Corporation: **NON-PROFIT**

2. Arizona Statutory Agent: **DAVID A MCEVOY**
Street Address: **2701 E SPEEDWAY BLVD #101**
(NOT P.O. BOX)
City, State, Zip: **TUCSON** **AZ 85716-**

Use this box only if appointing a new Statutory Agent

If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

_____
Signature of *new* Statutory Agent

ACC USE ONLY IPR 4/18

Fee $ 10
Penalty $_____
Reinstate $_____
Expedite $_____
Resubmit $_____

3. Secondary Address:
(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

__ 1. Accounting
__ 2. Advertising
__ 3. Aerospace
__ 4. Agriculture
__ 5. Architecture
__ 6. Banking/Finance
__ 7. Barbers/Cosmetology
__ 8. Construction
__ 9. Contractor
__ 10. Credit/Collection
__ 11. Education
__ 12. Engineering
__ 13. Entertainment
__ 14. General Consulting
__ 15. Health Care
__ 16. Hotel/Motel
__ 17. Import/Export
__ 18. Insurance
__ 19. Legal Services
__ 20. Manufacturing
__ 21. Mining
__ 22. News Media
__ 23. Pharmaceutical
__ 24. Publishing/Printing
__ 25. Ranching/Livestock
__ 26. Real Estate
__ 27. Restaurant/Bar
__ 28. Retail Sales
__ 29. Science/Research
__ 30. Sports/Sporting Events
__ 31. Technology(Computers)
__ 32. Technology(General)
__ 33. Television/Radio
__ 34. Tourism/Convention Services
__ 35. Transportation
__ 36. Utilities
__ 37. Veterinary Medicine/Animal Care
__ 38. Other _____

NON-PROFIT CORPORATIONS

1. __ Charitable
2. __ Benevolent
3. __ Educational
4. __ Civic
5. __ Political
6. __ Religious
7. __ Social
8. __ Literary
9. __ Cultural
10. __ Athletic
11. __ Science/Research
12. __ Hospital/Health Care
13. __ Agricultural
14. __ Animal Husbandry
15. X Homeowner's Association
16. __ Professional, commercial industrial or trade association
17. __ Other _____

APR - 4 2000

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized | Class | Series Within Class (if any)

Number of Shares/Certificates Issued | Class | Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: _____ **Name:** _____

NONE ☐

Name: _____ **Name:** _____

7. OFFICERS (If no changes since last report, check here __ and go on to Section 8.)

Name: MARGO MOOSE
Title: PRESIDENT/CEO
Address: 555 CALIFORNIA ST
SAN FRANCISCO, CA 94104-
Date taking office: 09/25/1997

Name: JEFF GROBSTEIN
Title: VICE-PRESIDENT
Address: 4740 N ORACLE #103
TUCSON, AZ 85705-
Date taking office: 01/08/1997

Name: GEORGE HAYS
Title: SECRETARY
Address: 9644 E WATERS EDGE PL
TUCSON, AZ 85749-
Date taking office: 01/13/1998

Name: GARY GRINDALL
Title: TREASURER
Address: 9768 E SANDCASTLE CT
TUCSON, AZ 85749-
Date taking office: 01/13/1998

8. DIRECTORS (If no changes since last report, check here __ and go on to Section 9.)

Name: VINCE SAUNDERS
Address: 555 CALIFORNIA ST
SAN FRANCISCO, CA 94104-
Date taking office: 01/08/1997

Name: JEFF GROBSTEIN
Address: 4740 N ORACLE #103
TUCSON, AZ 85705-
Date taking office: 01/08/1997

Name: GARY GRINDALL
Address: 9768 E SANDCASTLE CT
TUCSON, AZ 85749-
Date taking office: 01/13/1998

Name: MARGO MOOSE
Address: 555 CALIFORNIA ST
SAN FRANCISCO, CA 94104-
Date taking office: 09/25/1997

The Lakes at Castle Rock Homeowners Association

2000 Board of Directors:

Pres.	Howard Schildhouse hschild107@aol.com	2257N. Split Rock Pl.	760-8892 (H) 747-9600 (W)
V.P.	Jeff Grobstein Jgrobstn@montereyhomes.com	2631 N. Lightning A Drive	760-5899 (H) 292-2082 (W) 977-3631(M) 292-5051 (F)
Sec.	Mel Silverman MelMarkMik@AOL.com	9141 E. Mesquite Court	749-3100
Treas.	Jack Hasten jahasten@flash.net	2040 N. Water View Ct.	760-3843

Castle Rock Homeowners Association
Balance Sheet
As of February 29, 2000

	Feb 29, '00
ASSETS	
Current Assets	
Checking/Savings	
Cash Operating Account	47,177.34
Reserve Account	27,997.47
Total Checking/Savings	75,174.81
Accounts Receivable	
Accounts Receivable	20,757.71
Allowance for Doubtful Acct.	-1,783.54
Total Accounts Receivable	18,974.17
Total Current Assets	94,148.98
Other Assets	
Pre-Paid Data Maintenance	378.00
Pre-Paid Insurance	2,602.25
Suspense	-5,470.63
Utility Deposit	3,169.00
Total Other Assets	678.62
TOTAL ASSETS	**94,827.60**
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	10,643.87
Total Accounts Payable	10,643.87
Other Current Liabilities	
Pre-Paid Assessments	10,426.78
Total Other Current Liabilities	10,426.78
Total Current Liabilities	21,070.65
Total Liabilities	21,070.65
Equity	
Prior Years Equity	2,024.51
Retained Earnings	52,067.80
Net Income	19,664.64
Total Equity	73,756.95
TOTAL LIABILITIES & EQUITY	**94,827.60**

Castle Rock Homeowners Association
Budget vs. Actual
January through February 2000

	Jan - Fe...	Budget	$ Over B...	% of B...
Income				
Bank Interest	59.76	0.00	59.76	100.0%
Estate Assessment	11,286.00	11,514.00	-228.00	98.0%
Late Fees	1,013.46	0.00	1,013.46	100.0%
Lot Assessment	7,469.28	7,277.76	191.52	102.6%
Standard Assessment	48,244.80	48,609.60	-364.80	99.2%
Total Income	68,073.30	67,401.36	671.94	101.0%
Expense				
Bank Service Charges	3.50	0.00	3.50	100.0%
Dumpster Fees	1,289.88	1,500.00	-210.12	86.0%
Facility Supervisor	320.00	320.00	0.00	100.0%
Gate/Gatehouse				
Data Maintenance	189.00	300.00	-111.00	63.0%
Gate Maintenance	842.00	1,000.00	-158.00	84.2%
Gate Repairs	0.00	1,000.00	-1,000.00	0.0%
Total Gate/Gatehouse	1,031.00	2,300.00	-1,269.00	44.8%
General & Administrative				
General Liability Insurance	1,050.00	1,050.00	0.00	100.0%
Management Contract	3,774.00	3,804.00	-30.00	99.2%
Miscellaneous Exp.	125.00	400.00	-275.00	31.3%
Newsletter/Mailings	602.16	793.00	-190.84	75.9%
Permits/Fees	15.31	100.00	-84.69	15.3%
Professional Service	270.00	1,000.00	-730.00	27.0%
Taxes	0.00	100.00	-100.00	0.0%
Total General & Administrative	5,836.47	7,247.00	-1,410.53	80.5%
General Repairs	4,293.46	4,000.00	293.46	107.3%
Janitorial Service	920.00	1,100.00	-180.00	83.6%
Lakes				
Lake Maintenance	1,588.19	3,000.00	-1,411.81	52.9%
Pump Maintenance	2,025.41	2,400.00	-374.59	84.4%
Total Lakes	3,613.60	5,400.00	-1,786.40	66.9%
Landscape				
Landscape Maintenance Contract	17,400.00	17,400.00	0.00	100.0%
Other Landscape Expense	338.09	1,000.00	-661.91	33.8%
Total Landscape	17,738.09	18,400.00	-661.91	96.4%
Pest Control	0.00	200.00	-200.00	0.0%
Pool				
Pool Repairs	0.00	500.00	-500.00	0.0%
Pool Service	943.63	1,700.00	-756.37	55.5%
Total Pool	943.63	2,200.00	-1,256.37	42.9%
Security				
Patrols	1,014.36	5,009.34	-3,994.98	20.2%
Security Monitoring	189.00	400.00	-211.00	47.3%
Total Security	1,203.36	5,409.34	-4,205.98	22.2%
Street Sweeping	0.00	1,300.00	-1,300.00	0.0%
Supplies	41.41	0.00	41.41	100.0%
Utilities				
Electric	7,562.14	8,000.00	-437.86	94.5%
Gas	1,745.29	3,000.00	-1,254.71	58.2%
Phone	460.94	500.00	-39.06	92.2%
Water	1,055.89	1,000.00	55.89	105.6%
Total Utilities	10,824.26	12,500.00	-1,675.74	86.6%
Well Inspections	350.00	0.00	350.00	100.0%
Total Expense	48,408.66	61,876.34	-13,467.68	78.2%
Net Income	**19,664.64**	**5,525.02**	**14,139.62**	**355.9%**

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)
Only nonprofit corporations must **attach** a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only.

This corporation **does** ☒ **does not** ☐ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)
Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:
[Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

   (a) fraud or registration provisions of the securities laws of that jurisdiction, or
   (b) the consumer fraud laws of that jurisdiction, or
   (c) the antitrust or restraint of trade laws of that jurisdiction?

One box **must** be marked: **YES** ☐ **NO** ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

1. Full name and prior names used.
2. Full birth name.
3. Present home address.
4. Prior addresses (for immediate preceding 7 year period).
5. Date and location of birth.
6. Social Security Number
7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case.

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)
Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? **[Underlined portion pertains to profit corporations only]**

One box **must** be marked: **YES** ☐ **NO** ☒

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above. 1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was a) incorporated b) transacted business. 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name JACK A. HASTEN **Date** 4-16-00 **Name** [illegible] **Date** 4/11/00

Signature [signature] **Signature** [signature]

Title Treasurer **Title** [illegible]

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)