STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

DUE ON OR BEFORE 04/12/1998 **FILING FEE** $10.00

The following information is required by A.R.S. §10-1622 & §10-2501 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-2545.A. YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

RECEIVED
APR 14 1998
ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

1. CASTLE ROCK HOMEOWNERS ASSOCIATION, INC.
PO BOX 17052
TUCSON, AZ 85731

Corporation File Number: -0192384-0

Business Phone: 520-298-2146 (Business phone is optional.)
State of Domicile: ARIZONA Type of Corporation: NON-PROFIT

2. Arizona Statutory Agent: ~~C GORDON MARVIK~~ ~~Carol A. Keyser~~ LMR MARK LEWIS
Street Address: ~~9120 MESQUITE CT~~ ~~7301 E 22nd ST.~~ 7925A N ORACLE RD #391
(NOT P.O. BOX)
City, State, Zip: TUCSON ~~AZ 85749-85710~~ TUCSON AZ 85704-6356

ACC USE ONLY	
Fee	$ 10
Penalty	$
Reinstate	$
Expedite	$
Total	$ 10
FY97-98	

PAID

If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

[signature] [signature]
Signature

3. Secondary Address:
(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

__ 1. Accounting
__ 2. Advertising
__ 3. Aerospace
__ 4. Agriculture
__ 5. Architecture
__ 6. Banking/Finance
__ 7. Barbers/Cosmetology
__ 8. Construction
__ 9. Contractor
__ 10. Credit/Collection
__ 11. Education
__ 12. Engineering
__ 13. Entertainment
__ 14. General Consulting
__ 15. Health Care
__ 16. Hotel/Motel
__ 17. Import/Export
__ 18. Insurance
__ 19. Legal Services
__ 20. Manufacturing
__ 21. Mining
__ 22. News Media
__ 23. Pharmaceutical
__ 24. Publishing/Printing
__ 25. Ranching/Livestock
__ 26. Real Estate
__ 27. Restaurant/Bar
__ 28. Retail Sales
__ 29. Science/Research
__ 30. Sports/Sporting Events
__ 31. Technology(Computers)
__ 32. Technology(General)
__ 33. Television/Radio
__ 34. Tourism/Convention Services
__ 35. Transportation
__ 36. Utilities
__ 37. Veterinary Medicine/Animal Care
__ 38. Other _____

NON-PROFIT CORPORATIONS

1. __ Charitable
2. __ Benevolent
3. __ Educational
4. __ Civic
5. __ Political
6. __ Religious
7. __ Social
8. __ Literary
9. __ Cultural
10. __ Athletic
11. __ Science/Research
12. __ Hospital/Health Care
13. __ Agricultural
14. __ Animal Husbandry
15. X Homeowner's Association
16. __ Professional, commercial industrial or trade association
17. __ Other _____

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized	Class	Series Within Class (if any)

Number of Shares/Certificates Issued	Class	Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: _____ **Name:** _____

NONE ☐

Name: _____ **Name:** _____

7. OFFICERS (If no changes since last report, check here __ and go on to Section 8.)

Name: ~~PETER HERDER~~ Margo Moose
Title: PRESIDENT/CEO
Address: ~~2535 N CASTLE ROCK DR~~ 555 California St
~~TUCSON, AZ 85749-~~ San Francisco, CA 94104
Date taking office: ~~01-08-97~~ 9/25/97

Name: JEFF GROBSTEIN
Title: VICE-PRESIDENT
Address: 4740 N ORACLE #103
TUCSON, AZ 85705-
Date taking office: 01-08-97

Name: ~~FRAN SINGLETON~~ George Hays
Title: SECRETARY
Address: ~~2225 N QUAIL LAKE PL~~ 9644 E Waters Edge Pl.
TUCSON, AZ 85749-
Date taking office: ~~01-08-97~~ 1/13/98

Name: ~~DAVID SHOUGH~~ Gary Grindall
Title: TREASURER
Address: ~~2409 N SUN LAKE PL~~ 9768 E. Sandcastle Court
TUCSON, AZ 85749-
Date taking office: ~~01-08-97~~ 1/13/98

8. DIRECTORS (If no changes since last report, check here __ and go on to Section 9.)

Name: VINCE SAUNDERS
Address: 555 CALIFORNIA ST
SAN FRANCISCO, CA 94104-
Date taking office: 01-08-97

Name: ~~PETER HERDER~~ Margo Moose
Address: ~~2535 N CASTLE ROCK DR~~ 555 California St.
San Francisco, CA 94104
~~TUCSON, AZ 85749-~~
Date taking office: ~~01-08-97~~ 9/25/97

Name: JEFF GROBSTEIN
Address: 4740 N ORACLE #103
TUCSON, AZ 85705-
Date taking office: 01-08-97

Name: ~~FRAN SINGLETON~~ Gary Grindall
Address: ~~2225 N QUAIL LAKE PL~~ 9768 E. Sandcastle Court
TUCSON, AZ 85749-
Date taking office: ~~01-08-97~~ 1/13/98

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Castle Rock Homeowners Association, Inc.
Corp file # -0192384-0

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8. Directors - Continued

George Hays
9644 E Waters Edge Pl.
Tucson, AZ 85749
1/13/98

INCOME STATEMENT - Castle Rock Homeowners Assoc 12/31/97
December ,1997

0192384-0

PREPARED FOR :
Castle Rock Homeowners Assoc
P.O. Box 17052
Tucson, AZ 85731

PREPARED BY :
Pinehurst Properties, Inc.
P.O. Box 17052
Tucson, AZ 85731-052

	Month to Date	%	Year to Date	%
INCOME				
Association dues	14,346.27		237,165.92	
Title Transfer Fees	210.00		4,840.00	
Late fee	75.00		2,192.33	
TOTAL INCOME	14,631.27		244,198.25	
OPERATING EXPENSES				
Trash	211.00		3,035.89	
Landscaping	820.96		108,918.49	
Insurance	590.57		4,023.78	
Management	1,600.00		19,200.00	
General Repairs & Maint.	1,862.01		24,230.27	
Pool/Spa Service & Supp	425.65		7,486.03	
Maint - Recreation Ctrs	336.76		4,094.37	
Administration Exp	1,179.86		2,790.96	
Bank Charges	12.39		14.22	
Lake/Well Maintenance	859.00		7,182.20	
Lake/Well Repair			12,147.27	
Gate Card Purchases	-656.00		-1,640.20	
Gate Repairs			1,894.22	
Telephone	186.95		2,196.09	
Electricity	5,491.40		31,898.14	
Gas	656.61		5,067.89	
Water & Sewer	456.33		4,474.68	
Pest Control	75.00		300.00	
Accounting			3,925.00	
Security			1,593.10	
Guard House Rehab	230.00		730.00	
Legal Expenses	31.00		2,833.00	
Licenses/Fees/Permits	321.23		1,812.99	
Property Taxes			166.60	
Misc. Expense			4.73	
Payroll Expense				
WORKERS COMPENSATION			1,284.26	
Total Payroll Expense			1,284.26	
tal Operating Expense	14,690.72		249,663.98	
NET INCOME	-59.45		-5,465.73	
BEGINNING BALANCE	95.00			
ENDING BALANCE	35.55			

BALANCE SHEET - Castle Rock Homeowners Assoc 12/31/97
December,1997

0192384-0

PREPARED FOR :
Castle Rock Homeowners Assoc
P.O. Box 17052
Tucson, AZ 85731

PREPARED BY :
Pinehurst Properties, Inc.
P.O. Box 17052
Tucson, AZ 85731-052

ASSETS	
Cash	
Cash-National Bank Cking	35.55
Total Cash	35.55
Deposits	
Refundable deposits	1,689.00
Total Deposits	1,689.00
TOTAL ASSETS	1,724.55
LIABILITIES & CAPITAL	
Liabilities	
Total Liabilities	
Capital	
Begin Balance	7,190.28
Retained Earnings	-5,465.73
Total Equity	1,724.55
TOTAL LIAB. & CAPITAL	1,724.55

0192384-0

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-2501.A.6)

Only corporations that meet one or more of the following criteria must **attach** a financial statement (balance sheet including assets, liabilities and equity). The corporation is: 1) a **public service corporation** (e.g., public utility) as defined in Article XV, Section 2, Constitution of Arizona. 2) offers its **stock for sale** in transactions that are not exempt from A.R.S. §§ 44-1841 and 44-1842 as prescribed in §44-1844.A.1 (e.g., publicly traded). 3) a **nonprofit corporation**. All other forms of corporations are exempt from filing a financial disclosure.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-2505.A)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

   (a) fraud or registration provisions of the securities laws of that jurisdiction, or
   (b) the consumer fraud laws of that jurisdiction, or
   (c) the antitrust or restraint of trade laws of that jurisdiction?

One box **must** be marked: **YES** ☐ **NO** ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

1. Full name and prior names used.
2. Full birth name.
3. Present home address.
4. Prior addresses (for immediate preceding 7 year period).
5. Date and location of birth.
6. Social Security Number
7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case.

11. STATEMENT OF BANKRUPTCY (A.R.S. §10-202.D.2)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation?

One box **must** be marked: **YES** ☐ **NO** ☒

If YES, enter the following: Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above. 1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was a) incorporated b) transacted business. 3) The dates of corporate operation.

12. **CAUTION:** Signature requirements vary according to the type of corporation. **See the instruction sheet** for specific rules. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

X **Name** Jeffrey Grobstein **Date** 4/7/98 **Name** GEORGE HAYS **Date** 4-1-98

Signature _____ **Signature** _____

Title Vice President **Title** Secretary

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)