



WEB FORM COPY

STATE OF ARIZONA CORPORATION COMMISSION CORPORATION ANNUAL REPORT & CERTIFICATE OF DISCLOSURE

AZ Corp. Commission 06310643



DUE ON OR BEFORE 4/22/2018

FILING FEE \$10.00

PLEASE READ ALL INSTRUCTIONS. The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§ 10-121(A) & 10-3121(A). YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation.

01285799

1. REGENCY HOUSE ASSOCIATION % AAM LLC 1600 W BROADWAY RD #200

RECEIVED

APR 11 2018

TEMPE, AZ 85282

ARIZONA CORP. COMMISSION CORPORATIONS DIVISION

Business Phone: (Business phone is optional.)

State of Domicile: ARIZONA Type of Corporation: NON-PROFIT

2.

Statutory Agent: AMANDA SHAW Mailing Address: % AAM LLC 1600 W BROADWAY RD #200 City, State, Zip: TEMPE, AZ 85282

Statutory Agent's Street or Physical Address, If Different.

ACC USE ONLY Fee \$ Penalty \$ Reinstatement \$ Expedite \$ Resubmit \$

If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below. Note that the agent address must be in Arizona. I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law. Signature of new Statutory Agent Printed Name of new Statutory Agent

3. Secondary Address:

(Foreign Corporations are REQUIRED to complete this section).

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- 1. Accounting 2. Advertising 3. Aerospace 4. Agriculture 5. Architecture 6. Banking/Finance 7. Barbers/Cosmetology 8. Construction 9. Contractor 10. Credit/Collection 11. Education 12. Engineering 13. Entertainment 14. General Consulting 15. Health Care 16. Hotel/Motel 17. Import/Export 18. Insurance 19. Legal Services 20. Manufacturing 21. Mining 22. News Media 23. Pharmaceutical 24. Publishing/Printing 25. Ranching/Livestock 26. Real Estate 27. Restaurant/Bar 28. Retail Sales 29. Science/Research 30. Sports/Sporting Events 31. Technology(Computers) 32. Technology(General) 33. Television/Radio 34. Tourism/Convention Services 35. Transportation 36. Utilities 37. Veterinary Medicine/Animal Care 38. Other N/A

NON-PROFIT CORPORATIONS

- 1. Charitable 2. Benevolent 3. Educational 4. Civic 5. Political 6. Religious 7. Social 8. Literary 9. Cultural 10. Athletic 11. Science/Research 12. Hospital/Health Care 13. Agricultural 14. Cooperative Marketing Association 15. Animal Husbandry 16. Homeowner's Association 17. Professional, commercial industrial or trade association 18. Other

5. CAPITALIZATION:

(For-profit Corporations and Business Trusts are REQUIRED to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate. PLEASE PRINT OR TYPE CLEARLY.

5a. Please examine the corporation's original Articles of Incorporation for the amount of shares authorized.

Number of Shares/Certificates Authorized Class Series Within Class (if any)
N/A

5b. Review all corporation amendments to determine if the original number of shares has changed. Examine the corporation's minutes for the number of shares issued.

Number of Shares/Certificates Issued Class Series Within Class (if any)
N/A

6. SHAREHOLDERS:

(For-profit Corporations and Business Trusts are REQUIRED to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: Name:

NONE [checked]

Name: Name:

7. OFFICERS PLEASE TYPE OR PRINT CLEARLY. YOU MUST LIST AT LEAST ONE.

Name: DICK ELY
Title: PRESIDENT
Address: % AAM, LLC
1600 W BROADWAY RD #200
TEMPE, AZ 85282

Name: SUSAN BOYES
Title: TREASURER
Address: % AAM, LLC
1600 W BROADWAY RD #200
TEMPE, AZ 85282

Date taking office: 4/18/2016

Date taking office: 4/18/2016

Name: ROBERT DALAGER
Title: SECRETARY
Address: % AAM, LLC
1600 W BROADWAY RD #200
TEMPE, AZ 85282

Name: JODY SHARP-HELTON
Title: VICE-PRESIDENT
Address: % AAM, LLC
1600 W BROADWAY RD #200
TEMPE, AZ 85282

Date taking office:

Date taking office:

8. DIRECTORS PLEASE TYPE OR PRINT CLEARLY. YOU MUST LIST AT LEAST ONE.

Name: BABS HARRISON
Address: % AAM, LLC
1600 W BROADWAY RD #200
TEMPE, AZ 85282

Name: TOM JEFFRIES
Address: % AAM, LLC
1600 W BROADWAY RD #200
TEMPE, AZ 85282

Date taking office: 4/17/2017

Date taking office: 4/17/2017

Name:

Name:

Address:

Address:

Date taking office:

Date taking office:

9. **FINANCIAL DISCLOSURE (A.R.S. §10-11622(A)(9))**

Nonprofits – financial disclosure is no longer required. Cooperative marketing associations – must submit a financial statement. All other types of corporations are not required to file a financial statement.

**ONLY NONPROFIT CORPORATIONS MUST ANSWER THIS QUESTION:**

9A. **MEMBERS (A.R.S. §10-11622(A)(6))** This corporation **DOES**  **DOES NOT**  have members.

10. **CERTIFICATE OF DISCLOSURE (A.R.S. §§ 10-202(D), 10-3202(D), 10-1622(A)(8) & 10-11622(A)(7))**

- A. Has any person who is currently an officer, director, trustee, incorporator, or who, in a For-profit corporation, controls or holds more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:
1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the five year period immediately preceding the execution of this certificate?
  2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the five year period immediately preceding execution of this certificate?
  3. Subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the five year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:
    - (a) fraud or registration provisions of the securities laws of that jurisdiction, or
    - (b) the consumer fraud laws of that jurisdiction, or
    - (c) the antitrust or restraint of trade laws of that jurisdiction?

**One box must be marked: YES**  **NO**

If "YES" to A, the following information **must be submitted** as an attachment to this report for each person subject to one or more of the actions stated in Items 1 through 3 above.

- |   |   |
|---|---|
| 1. Full birth name.   | 5. Date and location of birth.  |
| 2. Full present name and prior names used.                      | 6. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved; and the file or cause number of the case. |
| 3. Present home address.  |   |
| 4. All prior addresses for immediately preceding 5 year period. |   |

- B. Has any person who is currently an officer, director, trustee, incorporator, or who, in a For-profit corporation, controls or holds over 20% of the issued and outstanding common shares, or 20% of any other proprietary, beneficial or membership interest in the corporation, served in any such capacity or held a 20% interest in any other corporation on the bankruptcy or receivership of that other corporation?

**One box must be marked: YES**  **NO**

If "YES" to B, the following information **must be submitted** as an attachment to this report for each corporation subject to the statement above.

- (a) Name and address of each corporation and the persons involved.
- (b) State(s) in which it: (i) was incorporated and (ii) transacted business.
- (c) Dates of corporate operation.

11. **STATEMENT OF BANKRUPTCY OR RECEIVERSHIP (A.R.S. §§ 10-1623 & 10-11623)**

- A. Has the **corporation** filed a petition for bankruptcy or appointed a receiver? **One box must be marked: YES**  **NO**

If "Yes" to A, the following information **must be submitted** as an attachment to this report:

1. All officers, directors, trustees and major stockholders of the corporation within one year of filing the petition for bankruptcy or the appointment of a receiver. If a major stockholder is a corporation, the statement shall list the current president, chairman of the board of directors and major stockholders of such corporate stockholder. "Major stockholder" means a shareholder possessing or controlling twenty per cent of the issued and outstanding shares or twenty per cent of any proprietary, beneficial or membership interest in the corporation.
2. Whether any such person has been an officer, director, trustee or major stockholder of any other corporation within one year of the bankruptcy or receivership of the other corporation. If so, for each such corporation give:
  - (a) Name and address of each corporation;
  - (b) States in which it: (i) was incorporated and (ii) transacted business.
  - (c) Dates of operation.

12. **SIGNATURES:** Annual Reports must be signed and dated by at least one duly authorized officer or they will be rejected.

I declare, under penalty of perjury, that all corporate income tax returns required by Title 43 of the Arizona Revised Statutes have been filed with the Arizona Department of Revenue. I further declare under penalty of perjury that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name JODY SHARP HELTON Date 4-2-18 Name \_\_\_\_\_ Date \_\_\_\_\_  
 Signature Jody Sharp Helton Signature \_\_\_\_\_  
 Title VICE PRESIDENT Title \_\_\_\_\_

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)

**HB 2084 – ASSOCIATION DISCLOSURE**

1. Legal/Corporate Name of Association:

***Regency House Association***

2. Address of Association:

***Central Avenue & Hoover Avenue, Phoenix, AZ 85004***

3. Name of Designated Agent or Management Company:

***Amanda Shaw c/o AAM, LLC***

4. Association or management company's phone number:

***602-957-9191***

5. Email Address:

***CSR@aamaz.com***

6. Website (if any):

**<http://www.regencyhousehoa.org>**

7. Fax Number (if any)

***602-957-8802***



# A/P Check Request

Date Requested : 3/27/2018

Requested By : Jonathan Sutter

Community # : 7640

Community Name : REGENCY HOUSE

Check Amount : \$10.00

Check Payable To : ARIZONA CORPORATION COMMISSION

Address : 1300 W Washington St  
Phoenix, AZ 85007-2929

Reason for request : 2018 ANNUAL REPORT

Special Instructions : PLEASE RETURN CHECK TO JONATHAN SUTTER ONCE CUT  
DO NOT MAIL

G/L Account Code :	5165	Amount :	\$10.00
G/L Account Code :		Amount :	
G/L Account Code :		Amount :	
G/L Account Code :		Amount :	
		Total :	\$ 10.00

Approved By : jds Date : 3/27/2018

Controller Approval : \_\_\_\_\_ Date : \_\_\_\_\_  
*For 'ASAP & Emergency' Checks*

**For A/P Use ONLY :**

W-9 on file ? Yes No

A/P Initials \_\_\_\_\_

A/P Voucher # \_\_\_\_\_ Post Date \_\_\_\_\_



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March 28, 2018

First Request

Jody Sharp-Helton  
2323 N Central Ave #0704  
Phoenix, AZ 85004

Re: **Regency House Association**  
2018 Arizona Corporation Commission Annual Report

Dear Board of Directors:

Enclosed please find the **2018 Arizona Corporation Commission Annual Report**. The State of Arizona requires corporations to file an annual report and Certificate of Disclosure in the anniversary month of the company's incorporation on a date assigned by the state. If one is not filed within 90 days after the due date, the corporation will be sent a Notice of Pending Administration Dissolution. If dissolved, the corporation will need to be reinstated and required to pay fees and penalties.

Please review the attached report and sign where indicated; make any necessary changes and mail back the original form to me as soon as possible in the enclosed self-addressed, postage-paid envelope.

Once received, I will mail the report to the Arizona Corporation Commission along with the necessary payment.

If you have any questions, please contact me at (602) 674-4379 or at [jsutter@AssociatedAsset.com](mailto:jsutter@AssociatedAsset.com). Thank you for your assistance in this matter.

Sincerely,

Jonathan Sutter  
CLDP Department

Enclosures:  
2018 Annual Report  
Self-addressed, postage-paid envelope

