



STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission



00460348

DUE ON OR BEFORE 04/23/2002

FY01-02

FILING FEE \$10.00

The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§10-121.A. & 10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

1. -0114383-0
CASITA EL PUENTE ASSOCIATION, INC.
PO BOX 188
SCOTTSDALE, AZ 85252

RECEIVED

MAR 15 2002

ARIZONA CORPORATION COMMISSION
CORPORATIONS DIVISION

PAID

MAR - 1 2002

Business Phone: _____ (Business phone is optional.)
State of Domicile: **ARIZONA** Type of Corporation: **NON-PROFIT**

2. Arizona Statutory Agent: **ASSOCIATED PROPERTY MANAGEMENT**
Street Address: ~~14820 N CAVE CREEK RD #19~~
PHOENIX, AZ 85088
City, State, Zip:

Check # 2136

3260 E. Indian School Rd.

Use this box only if appointing a new Statutory Agent

ACC USE ONLY

Fee \$ 10 *MPR 03-15-02*

Penalty \$ _____

Reinstate \$ _____

Expedite \$ _____

Resubmit \$ _____

If appointing a new statutory agent, the new agent **MUST** consent to that appointment by signing below:

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

Signature of new Statutory Agent

3. Secondary Address:

(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- 1. Accounting
- 2. Advertising
- 3. Aerospace
- 4. Agriculture
- 5. Architecture
- 6. Banking/Finance
- 7. Barbers/Cosmetology
- 8. Construction
- 9. Contractor
- 10. Credit/Collection
- 11. Education
- 12. Engineering
- 13. Entertainment
- 14. General Consulting
- 15. Health Care
- 16. Hotel/Motel
- 17. Import/Export
- 18. Insurance
- 19. Legal Services
- 20. Manufacturing
- 21. Mining
- 22. News Media
- 23. Pharmaceutical
- 24. Publishing/Printing
- 25. Ranching/Livestock
- 26. Real Estate
- 27. Restaurant/Bar
- 28. Retail Sales
- 29. Science/Research
- 30. Sports/Sporting Events
- 31. Technology(Computers)
- 32. Technology(General)
- 33. Television/Radio
- 34. Tourism/Convention Services
- 35. Transportation
- 36. Utilities
- 37. Veterinary Medicine/Animal Care
- 38. Other _____

NON-PROFIT CORPORATIONS

- 1. Charitable
- 2. Benevolent
- 3. Educational
- 4. Civic
- 5. Political
- 6. Religious
- 7. Social
- 8. Literary
- 9. Cultural
- 10. Athletic
- 11. Science/Research
- 12. Hospital/Health Care
- 13. Agricultural
- 14. Animal Husbandry
- 15. Homeowner's Association
- 16. Professional, commercial industrial or trade association
- 17. Other _____

5. CAPITALIZATION: (Business Corporations and Business Trusts are REQUIRED to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized Class Series Within Class (if any)

Number of Shares/Certificates Issued Class Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are REQUIRED to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation. Please Type or Print Clearly.

Name: Name:

NONE

Name: Name:

7. OFFICERS Please Type or Print Clearly.

Name: Diana Peterson
Title: Pres.
Address: P.O. Box 188
Scotts. AZ 85252

Name: Ken Shimasaki
Title: Vice Pres.
Address: P.O. Box 188
Scotts. AZ 85252

Date taking office: 2/12/02
Name: Debbie Bobbe
Title: Treas.
Address: P.O. Box 188
Scotts. AZ 85252

Date taking office: 2/12/02
Name: Bonnie Kathol
Title: Secretary
Address: P.O. Box 188
Scotts. AZ 85252

Date taking office: 2/12/02

Date taking office: 2/12/02

8. DIRECTORS Please Type or Print Clearly.

Name: Dale Nearhood
Address: P.O. Box 188
Scotts. AZ 85252

Name: Bonnie Kathol
Address: P.O. Box 188
Scotts. AZ 85252

Date taking office: 2/12/02
Name: Debbie Bobbe
Address: P.O. Box 188
Scotts. AZ 85252

Date taking office: 2/12/02
Name:
Address:

Date taking office: ~~2/12/02~~ 2/12/02

Date taking office:

CASITAS EL PUENTE HOA
BALANCE SHEET
FISCAL YEAR END DECEMBER 31, 2001
AS OF 12/31/2001

ASSETS

BANK ONE COMMERCIAL CHECKING	\$	5,025.44	
BANK ONE IMMS SAVINGS		237.02	
BANK ONE IMMS SAVINGS		<u>16,870.11</u>	
TOTAL ASSETS	\$		<u>22,132.57</u>

LIABILITIES & EQUITY

LIABILITIES

TOTAL LIABILITIES	\$		0.00
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EQUITY

RETAINED EARNINGS	\$	22,452.88	
YTD SURPLUS(DEFICIT)		<u>(320.31)</u>	
TOTAL LIABILITIES & EQUITY	\$		<u>22,132.57</u>

CASITAS EL PUENTE HOA
BUDGET COMPARISON
FOR THE PERIOD 12/01/2001 TO 12/31/2001

	CURRENT ACTUAL	CURRENT BUDGET	CURRENT VARIANCE	Y-T-D ACTUAL	Y-T-D BUDGET	Y-T-D VARIANCE
BUILDING EXPENSES						
5010 CLUBHOUSE	\$ 0.00	\$ 25.00	\$ 25.00	\$ 0.00	\$ 300.00	\$ 300.00
5021 CLEANING/REPAIRS	100.00	142.00	42.00	2,177.00	1,704.00	(473.00)
5022 PAINTING	0.00	4.24	4.24	649.43	50.00	(599.43)
5023 PEST CONTROL	125.00	52.00	(73.00)	500.00	624.00	124.00
5025 FIRE EXTINGUISHERS	0.00	4.24	4.24	389.07	50.00	(339.07)
5029 OTHER/SUPPLIES	0.00	8.37	8.37	0.00	100.00	100.00
5035 STEPS	0.00	41.74	41.74	0.00	500.00	500.00
5036 WALL	175.00	0.00	(175.00)	175.00	0.00	(175.00)
5053 HVAC	0.00	0.00	0.00	1,895.00	0.00	(1,895.00)
5037 OTHER-DOORS/GLASS	0.00	0.00	0.00	21.42	0.00	(21.42)
5040 INTERIOR REPAIRS	0.00	0.00	0.00	280.01	0.00	(280.01)
TOTAL BUILDING EXPENSES	<u>400.00</u>	<u>277.59</u>	<u>(122.41)</u>	<u>6,086.93</u>	<u>3,328.00</u>	<u>(2,758.93)</u>
GROUNDS EXPENSE						
5051 ELECTRICAL	\$ 0.00	\$ 41.74	\$ 41.74	\$ 2,046.46	\$ 500.00	\$(1,546.46)
5054 PLUMBING	(147.00)	83.37	230.37	2,814.80	1,000.00	(1,814.80)
5071 HARDWARE	0.00	8.37	8.37	136.11	100.00	(36.11)
5072 KEYS	0.00	5.00	5.00	6.81	60.00	53.19
TOTAL GROUNDS EXPENSE	<u>(147.00)</u>	<u>138.48</u>	<u>285.48</u>	<u>5,004.18</u>	<u>1,660.00</u>	<u>(3,344.18)</u>
LANDSCAPING						
6010 GRAVEL/SAND	\$ 0.00	\$ 0.00	\$ 0.00	\$ 528.49	\$ 0.00	\$(528.49)
6009 SEED	0.00	0.00	0.00	325.00	0.00	(325.00)
6012 LAWN CONTRACT	950.00	950.00	0.00	11,445.00	11,400.00	(45.00)
6013 TREE	1,525.00	416.74	(1,108.26)	3,974.00	5,000.00	1,026.00
6014 MATERIAL (PLANTS)	96.00	83.37	(12.63)	282.00	1,000.00	718.00
6015 SUPPLIES	0.00	41.74	41.74	0.00	500.00	500.00
6017 WEED CONTROL	0.00	16.74	16.74	460.00	200.00	(260.00)
6020 FENCE/GATE/WALL	0.00	83.37	83.37	898.00	1,000.00	102.00
6036 FERTILIZATION	48.00	0.00	(48.00)	134.00	0.00	(134.00)
6040 LIGHTING	396.21	25.00	(371.21)	2,313.52	300.00	(2,013.52)
6070 SPRINKLER/IRRIGATION	(143.00)	83.37	226.37	926.94	1,000.00	73.06
6079 OTHER	0.00	0.00	0.00	209.32	0.00	(209.32)
TOTAL LANDSCAPING EXPENSES	<u>2,872.21</u>	<u>1,700.33</u>	<u>(1,171.88)</u>	<u>21,496.27</u>	<u>20,400.00</u>	<u>(1,096.27)</u>
STREETS						
6082 REPAIR-ASPHALT	\$ 0.00	\$ 0.00	\$ 0.00	\$ 128.83	\$ 0.00	\$(128.83)
6100 MISCELLANEOUS	0.00	25.62	25.62	0.00	307.00	307.00
TOTAL STREET EXPENSES	<u>0.00</u>	<u>25.62</u>	<u>25.62</u>	<u>128.83</u>	<u>307.00</u>	<u>178.17</u>

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)

Nonprofit corporations **must attach** a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only

This corporation **does** **does not** have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: [Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

One box **must** be marked:

YES

NO

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- | | |
|---|---|
| 1. Full name and prior names used. | 5. Date and location of birth. |
| 2. Full birth name. | 6. Social Security Number |
| 3. Present home address. | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). | |

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? [Underlined portion pertains to profit corporations only]

One box **must** be marked:

YES

NO

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information **must be submitted** as an attachment to this report for each person subject to the statement above:

- 1) The names and addresses of each corporation and the person or persons involved.
- 2) The state in which each corporation was incorporated b) transacted business.
- 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Diana S. Petersen Date 3/13/02 Name _____ Date _____

Signature Diana S. Petersen Signature _____

Title President Title _____

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)