STATE OF ARIZONA
CORPORATION COMMISSION
CORPORATION ANNUAL REPORT
& CERTIFICATE OF DISCLOSURE

Arizona Corporation Commission

00483971

DUE ON OR BEFORE 04/28/2002 **FY01-02** **FILING FEE $10.00**

The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§10-121.A. & 10-3121.A. YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. REFER TO THE INSTRUCTIONS ON PAGE 4.

RECEIVED

MAY 8 2002

ARIZONA CORP. COMMISSION
CORPORATIONS DIVISION

1. -0093768-0
CATALINA DEL REY HOMEOWNERS ASSOCIATION
5620 N CAMINO DE LAS ESTRELLAS
TUCSON, AZ 85718

DELINQUENT AMENDMENT PUBLICATION 04/11/2002; CONTACT THE COMMISSION AT 542-3135

Business Phone:_____ (Business phone is optional.)
State of Domicile: **ARIZONA** Type of Corporation: **NON-PROFIT**

2. Arizona Statutory Agent: **PATRICK O'HAGIN**
Street Address: **3055 N CAMPBELL #113**
City, State, Zip: **TUCSON, AZ 85717**

Use this box only if appointing a new Statutory Agent

If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.

I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.

_____
Signature of *new* Statutory Agent

ACC USE ONLY

Fee $ 10 PD
Penalty $ 0
Reinstate $_____
Expedite $_____
Resubmit $_____

398783

3. Secondary Address:
(Foreign Corporations are **REQUIRED** to complete this section.)

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

__ 1. Accounting
__ 2. Advertising
__ 3. Aerospace
__ 4. Agriculture
__ 5. Architecture
__ 6. Banking/Finance
__ 7. Barbers/Cosmetology
__ 8. Construction
__ 9. Contractor
__ 10. Credit/Collection
__ 11. Education
__ 12. Engineering
__ 13. Entertainment
__ 14. General Consulting
__ 15. Health Care
__ 16. Hotel/Motel
__ 17. Import/Export
__ 18. Insurance
__ 19. Legal Services
__ 20. Manufacturing
__ 21. Mining
__ 22. News Media
__ 23. Pharmaceutical
__ 24. Publishing/Printing
__ 25. Ranching/Livestock
__ 26. Real Estate
__ 27. Restaurant/Bar
__ 28. Retail Sales
__ 29. Science/Research
__ 30. Sports/Sporting Events
__ 31. Technology(Computers)
__ 32. Technology(General)
__ 33. Television/Radio
__ 34. Tourism/Convention Services
__ 35. Transportation
__ 36. Utilities
__ 37. Veterinary Medicine/Animal Care
__ 38. Other _____

NON-PROFIT CORPORATIONS

1. __ Charitable
2. __ Benevolent
3. __ Educational
4. __ Civic
5. __ Political
6. __ Religious
7. __ Social
8. __ Literary
9. __ Cultural
10. __ Athletic
11. __ Science/Research
12. __ Hospital/Health Care
13. __ Agricultural
14. __ Animal Husbandry
15. __ Homeowner's Association
16. __ Professional, commercial industrial or trade association
17. __ Other_____

5. CAPITALIZATION: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized	Class	Series Within Class (if any)

Number of Shares/Certificates Issued	Class	Series Within Class (if any)

6. SHAREHOLDERS: (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)
List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

NONE ☑

Name: _____ **Name:** _____

Name: _____ **Name:** _____

7. OFFICERS **PLEASE TYPE OR PRINT CLEARLY.**

Name: Fred Dyer
Title: President
Address: 4647 E Camino de Oro
Tucson AZ 85718
Date taking office: April 2002

Name: Sonya Kohlmeyer
Title: Vice President
Address: 5633 N. Camino del Sol
Tucson AZ 85718
Date taking office: April 2001

Name: Janice Ulrich
Title: Treasurer
Address: 4648 E Camino de Oro
Tucson AZ 85718
Date taking office: April 2002

Name: Charlotte Hamilton
Title: Secretary
Address: 5666 N Camino del Sol
Tucson AZ 85718
Date taking office: April 2001

8. DIRECTORS **PLEASE TYPE OR PRINT CLEARLY.**

Name: Lew Riggs
Address: 4566 E Camino de Oro
Tucson AZ 85718
Date taking office: April 2001

Name: Sandee Banyon
Address: 5742 N Camino Laguna
Tucson AZ 85718
Date taking office: April 2002

Name: Kelly Hennon
Address: 4625 E Camino Rosa
Tucson AZ 85718
Date taking office: April 2002

Name: _____
Address: _____
_____
Date taking office: _____

02/15/02

Catalina del Rey Association
Balance Sheet
As of December 31, 2001

	Dec 31, 01
ASSETS	
Current Assets	
Checking/Savings	
1000 · Operating Account - NBA	1,005.31
1010 · Money Market - NBA	
1011 · Money Market Account	6,806.07
1012 · Library deposits	272.00
1013 · Entertainment Book Sale - 2002	147.00
Total 1010 · Money Market - NBA	7,225.07
1020 · WFS Money Market	52,324.50
1030 · Petty Cash	106.39
1040 · Social Petty Cash	648.40
Total Checking/Savings	61,309.67
Total Current Assets	61,309.67
TOTAL ASSETS	**61,309.67**
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
1300 · Funding for Clubhouse TV	
1312 · Library	272.00
1313 · Entertainment Books	147.00
Total 1300 · Funding for Clubhouse TV	419.00
Total Other Current Liabilities	419.00
Total Current Liabilities	419.00
Total Liabilities	419.00
Equity	
1110 · Retained Earnings	-32,704.82
3000 · Opening Bal Equity	98,788.19
Net Income	-5,192.70
Total Equity	60,890.67
TOTAL LIABILITIES & EQUITY	**61,309.67**

Catalina del Rey Association
Profit & Loss Budget vs. Actual
January through December 2001

	Jan - Dec...	Budget	$ Over B...	% of B...
6400 · LANDSCAPING				
6410 · Maintenance Contract	54,564.00	52,400.04	2,163.96	104.1%
6420 · Supplies, Repairs, Replacement	5,877.11	2,000.04	3,877.07	293.8%
6430 · Irrigation Repairs	465.10	999.96	-534.86	46.5%
6440 · Backflow Testing/Repairs	252.00	300.00	-48.00	84.0%
6450 · Tree Maintenance	9,540.00	6,000.00	3,540.00	159.0%
6460 · Plant & Tree Replacement	4,866.18	5,000.04	-133.86	97.3%
6470 · Annuals	0.00	0.00	0.00	0.0%
6480 · Gravel Replacement	4,054.00	999.96	3,054.04	405.4%
6481 · Renovations	20,706.58	0.00	20,706.58	100.0%
Total 6400 · LANDSCAPING	100,324.97	67,700.04	32,624.93	148.2%
6500 · COMMON AREA MAINTENANCE				
6510 · Lighting Supplies & Repairs	0.00	399.96	-399.96	0.0%
6520 · Gen. Maint. & Repair	2,337.82	3,000.00	-662.18	77.9%
6530 · Painting - Common Area	0.00	2,000.04	-2,000.04	0.0%
6540 · Painting - Residences	0.00	3,000.00	-3,000.00	0.0%
Total 6500 · COMMON AREA MAINTENANCE	2,337.82	8,400.00	-6,062.18	27.8%
6600 · ROADS & SECURITY				
6610 · Guards - Common Area	17,338.00	18,000.00	-662.00	96.3%
6620 · Security Cart	1,761.50	1,575.00	186.50	111.8%
6630 · Street Maintenance	1,221.64	1,200.00	21.64	101.8%
Total 6600 · ROADS & SECURITY	20,321.14	20,775.00	-453.86	97.8%
6700 · UTILITIES				
6710 · Electricity	6,512.27	6,698.04	-185.77	97.2%
6720 · Water & Sewer	6,833.60	6,000.00	833.60	113.9%
6730 · Telephone	1,298.02	1,299.96	-1.94	99.9%
Total 6700 · UTILITIES	14,643.89	13,998.00	645.89	104.6%
6800 · MEMBER SERVICES				
6810 · Cable TV Contract	34,188.96	31,310.04	2,878.92	109.2%
6820 · Trash Removal	16,988.48	20,400.00	-3,411.52	83.3%
Total 6800 · MEMBER SERVICES	51,177.44	51,710.04	-532.60	99.0%
Total Expense	257,161.17	227,689.20	29,471.97	112.9%
Net Ordinary Income	-5,192.70	20,114.76	-25,307.46	-25.8%
Net Income	**-5,192.70**	**20,114.76**	**-25,307.46**	**-25.8%**

02/15/02

Catalina del Rey Association
Profit & Loss Budget vs. Actual
January through December 2001

	Jan - Dec...	Budget	$ Over B...	% of B...
Ordinary Income/Expense				
Income				
4010 · Residential Assessments	247,821.12	243,504.00	4,317.12	101.8%
4020 · Late Fees	1,075.00	999.96	75.04	107.5%
4030 · Legal Fees Reimbursement	115.98	0.00	115.98	100.0%
4040 · Recreation Pass	25.00	0.00	25.00	100.0%
4050 · Interest	2,228.39	3,000.00	-771.61	74.3%
4060 · Miscellaneous Income	377.98	0.00	377.98	100.0%
4070 · Fines	25.00	0.00	25.00	100.0%
4080 · Clubhouse Deposits	300.00	300.00	0.00	100.0%
Total Income	251,968.47	247,803.96	4,164.51	101.7%
Expense				
6000 · ADMINISTRATIVE				
6005 · Bank Charges	143.90	0.00	143.90	100.0%
6010 · Management Fees	17,400.00	17,400.00	0.00	100.0%
6020 · Audit Fees	0.00	2,000.04	-2,000.04	0.0%
6025 · Legal Fees	2,542.45	1,500.00	1,042.45	169.5%
6030 · Postage	1,249.89	999.96	249.93	125.0%
6035 · Printing	524.68	1,200.00	-675.32	43.7%
6040 · Insurance	4,387.00	5,000.04	-613.04	87.7%
6050 · Dues & Subscriptions	368.05	500.04	-131.99	73.6%
6055 · Social	1,200.00	1,200.00	0.00	100.0%
6060 · Office Supplies	527.06	500.04	27.02	105.4%
6070 · Property Taxes	0.00	21.00	-21.00	0.0%
6080 · Federal Income Tax	0.00	1,200.00	-1,200.00	0.0%
6085 · State Income Tax	142.00	0.00	142.00	100.0%
6090 · Licenses, Fees, & Permits	504.50	125.04	379.46	403.5%
7000 · Library	59.00	0.00	59.00	100.0%
Total 6000 · ADMINISTRATIVE	29,048.53	31,646.16	-2,597.63	91.8%
6100 · RECREATIONAL FACILITIES				
6110 · Janitorial Contract	6,735.00	7,380.00	-645.00	91.3%
6120 · Supplies	405.26	500.04	-94.78	81.0%
6130 · Repairs & Maint.	4,113.58	999.96	3,113.62	411.4%
6140 · Extermination Contract	290.00	249.96	40.04	116.0%
6150 · Tennis Courts	0.00	500.04	-500.04	0.0%
Total 6100 · RECREATIONAL FACILITIES	11,543.84	9,630.00	1,913.84	119.9%
6200 · SOUTH POOL				
6210 · Maintenance Contract	1,075.00	1,650.00	-575.00	65.2%
6220 · Repairs	4,398.90	2,499.96	1,898.94	176.0%
6230 · Supplies	2,094.86	800.04	1,294.82	261.8%
6240 · Electricity (acct#4280925019)	5,423.47	6,000.00	-576.53	90.4%
6250 · Gas	7,682.28	6,000.00	1,682.28	128.0%
6260 · Water/Sew.(acct#141755-144982)	2,024.96	1,400.04	624.92	144.6%
Total 6200 · SOUTH POOL	22,699.47	18,350.04	4,349.43	123.7%
6300 · NORTH POOL				
6310 · Maintenance Contract	1,260.00	840.00	420.00	150.0%
6320 · Repairs	738.01	999.96	-261.95	73.8%
6330 · Supplies	968.16	840.00	128.16	115.3%
6340 · Electricity (acct#3148125019)	1,440.36	1,599.96	-159.60	90.0%
6350 · Water/Sew.(acct#141755-145126)	657.54	1,200.00	-542.46	54.8%
Total 6300 · NORTH POOL	5,064.07	5,479.92	-415.85	92.4%

9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)
Nonprofit corporations **must attach** a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only.

This corporation **does** ☒ **does not** ☐ have members.

10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)
Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:
[Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

(a) fraud or registration provisions of the securities laws of that jurisdiction, or
(b) the consumer fraud laws of that jurisdiction, or
(c) the antitrust or restraint of trade laws of that jurisdiction?

One box **must** be marked: **YES** ☐ **NO** ☒

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

1. Full name and prior names used.
2. Full birth name.
3. Present home address.
4. Prior addresses (for immediate preceding 7 year period).
5. Date and location of birth.
6. Social Security Number
7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case.

11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)
Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? **[Underlined portion pertains to profit corporations only]**

One box **must** be marked: **YES** ☐ **NO** ☒

Chapter _____ Date Filed _____ Case Number _____

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above. 1) The names and addresses of each corporation and the person or persons involved. 2) The state in which each corporation was a) incorporated b) transacted business. 3) The dates of corporate operation.

12. SIGNATURES

CAUTION: Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Fred C. Dyer **Date** 5/2/02 **Name** _____ **Date** _____

Signature _____ **Signature** _____

Title _____ **Title** _____

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)