



**STATE OF ARIZONA  
CORPORATION COMMISSION  
CORPORATION ANNUAL REPORT  
& CERTIFICATE OF DISCLOSURE**

Arizona Corporation Commission



**DUE ON OR BEFORE 04/16/1999**

**FY98-99**

**FILING FEE \$10.00**

The following information is required by A.R.S. §10-1622 & §10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §10-121.A. & §10-3121.A. **YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM.** Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation. See instructions for proper format. **REFER TO THE INSTRUCTIONS ON PAGE 4.**

-0083623-0

1. THE LAKES COMMUNITY ASSOCIATION  
5501 LAKESHORE DR  
TEMPE, AZ 85283

**RECEIVED**

**FEB 22 2000**

MISSING 1998 ANNUAL REPORT; CONTACT THE COMMISSION AT 542-3285!

ARIZONA CORP. COMMISSION  
CORPORATIONS DIVISION

Business Phone: 838-1023 (Business phone is optional)  
State of Domicile: ARIZONA      Type of Corporation: NON-PROFIT

2. Arizona Statutory Agent: CHARLES E MAXWELL  
Street Address: 1350 E SOUTHERN AVE #2  
(NOT P.O. BOX)  
City, State, Zip: MESA AZ 85204-

*Use this box only if appointing a new Statutory Agent*

<b>ACC USE ONLY</b>	
Fee	\$ <u>10.00</u>
Penalty	\$ <u>0</u>
Reinstata	\$ _____
Expedite	\$ _____
Resubmit	\$ _____

*If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below.*

*I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.*

\_\_\_\_\_  
Signature of new Statutory Agent

3. Secondary Address:  
**(Foreign Corporations are REQUIRED to complete this section.)**

4. Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

- BUSINESS CORPORATIONS**
- 1. Accounting
  - 2. Advertising
  - 3. Aerospace
  - 4. Agriculture
  - 5. Architecture
  - 6. Banking/Finance
  - 7. Barbers/Cosmetology
  - 8. Construction
  - 9. Contractor
  - 10. Credit/Collection
  - 11. Education
  - 12. Engineering
  - 13. Entertainment
  - 14. General Consulting
  - 15. Health Care
  - 16. Hotel/Motel
  - 17. Import/Export
  - 18. Insurance
  - 19. Legal Services
  - 20. Manufacturing
  - 21. Mining
  - 22. News Media
  - 23. Pharmaceutical
  - 24. Publishing/Printing
  - 25. Ranching/Livestock
  - 26. Real Estate
  - 27. Restaurant/Bar
  - 28. Retail Sales
  - 29. Science/Research
  - 30. Sports/Sporting Events
  - 31. Technology(Computers)
  - 32. Technology(General)
  - 33. Television/Radio
  - 34. Tourism/Convention Services
  - 35. Transportation
  - 36. Utilities
  - 37. Veterinary Medicine/Animal Care
  - 38. Other \_\_\_\_\_

- NON-PROFIT CORPORATIONS**
- 1. Charitable
  - 2. Benevolent
  - 3. Educational
  - 4. Civic
  - 5. Political
  - 6. Religious
  - 7. Social
  - 8. Literary
  - 9. Cultural
  - 10. Athletic
  - 11. Science/Research
  - 12. Hospital/Health Care
  - 13. Agricultural
  - 14. Animal Husbandry
  - 15. Homeowner's Association
  - 16. Professional, commercial industrial or trade association
  - 17. Other \_\_\_\_\_

**5. CAPITALIZATION:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate.

Number of Shares/Certificates Authorized                      Class                      Series Within Class (if any)

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Number of Shares/Certificates Issued                      Class                      Series Within Class (if any)

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**6. SHAREHOLDERS:** (Business Corporations and Business Trusts are **REQUIRED** to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

Name: \_\_\_\_\_ Name: \_\_\_\_\_

NONE

Name: \_\_\_\_\_ Name: \_\_\_\_\_

**7. OFFICERS** (If no changes since last report, check here \_\_\_ and go on to Section 8.)

Name: Van Johnson

Name: Pebble Ware

Title: President

Title: Vice President

Address: 1500 East Weatherlane  
TEMPE, AZ 85283-

Address: 1512 East Northshore Dr.  
Tempe, AZ 85283

Date taking office: 02/09/1999

Date taking office: 02/09/1999

Name: Dave Ross

Name: William C. Mercer

Title: Secretary

Title: Treasurer

Address: 974 E. Driftwood Drive  
TEMPE, AZ 85283-

Address: 1024 East Driftwood Drive  
TEMPE, AZ 85283

Date taking office: 02/09/1999

Date taking office: 02/09/1999

**8. DIRECTORS** (If no changes since last report, check here \_\_\_ and go on to Section 9.)

Name: Grace EWIN

Name: Paul Steinbart

Address: 5628 S. Jolly Roger  
TEMPE, AZ 85283-

Address: 1631 E. Westwind Way  
TEMPE, AZ 85283-

Date taking office: 02/09/1999

Date taking office: 02/09/1999

Name: John Davis

Name: RAY TRIPHANN

Address: 977 East Driftwood Drive  
TEMPE, AZ 85283-

Address: 5200 S LAKESHORE DR #109  
TEMPE, AZ 85283-

Date taking office: 02/09/1999

Date taking office: 02/11/1997

# The Lakes Community Association

Board of Directors 1999 - 2000

✓ Van Johnson 1500 E. Weathervane Lane Tempe, AZ 85283	<i>President</i> C60	(00)
✓ Preble Ware (Paula) 1512 E. Northshore Dr. Tempe, AZ 85283	<i>Vice President</i> C3	(00)
✓ Dave Ross (Hank) 974 E. Driftwood Tempe, AZ 85283) D149	<i>Secretary</i> G24	(01)
✓ William C. Mercer (Barbara) 1024 East Driftwood Drive Tempe, AZ 85283	<i>Treasurer</i> G24	(02)
Terry Day (Jackie) 1332 E. Bayview Tempe, AZ 85283	E60	(01)
✓ Grace Ewin (William) 5628 S. Jolly Roger Rd. Tempe, AZ 85283	F2	(02)
John Davis (Myra) 977 E. Driftwood Tempe, AZ 85283	G2114	(01)
Maureen Harrison (Van) 5519 S. Compass Tempe, AZ 85283	D113	(00)
Paul Steinbart (Linda) 1631 E. Westwind Way Tempe, AZ 85283	C106	(02)
Ray TripHahn 26427 Lakeview Dr. Sun Lakes, AZ 85248	H47	(00)

THE LAKES COMMUNITY ASSOCIATION  
BALANCE SHEET  
DECEMBER 31, 1998

ASSETS

	Operating Fund	Replacement Fund	Total	1997
<b>Current assets</b>				
Cash	\$119,418	\$587,068	\$ 706,486	\$ 609,639
Receivables (Note 3)	15,788		15,788	27,038
Receivable from replacement/ operating fund	4,716	5,324	10,040	6,380
Reserve for bad debts	( 1,000)		( 1,000)	-0-
Inventories (Note 2)	2,021		2,021	1,797
Deposits	1,141		1,141	1,141
Prepaid expenses	2,077		2,077	3,000
<b>Total current assets</b>	<b>144,161</b>	<b>592,392</b>	<b>736,553</b>	<b>648,995</b>
<b>Property and equipment</b>				
Property and equipment (Note 2, 4 and 5)	714,780		714,780	695,906
Accumulated depreciation (Note 5)	(296,909)		( 296,909)	( 291,584)
<b>Total property and equipment</b>	<b>417,871</b>		<b>417,871</b>	<b>404,322</b>
<b>Total assets</b>	<b>\$562,032</b>	<b>\$592,392</b>	<b>\$1,154,424</b>	<b>\$1,053,317</b>

LIABILITIES AND FUND BALANCES

<b>Current liabilities</b>				
Accounts payable	\$ 15,470		\$ 15,470	15,286
Other accrued liabilities (Note 6)	19,087		19,087	9,589
Prepaid member assessments	84,731		84,731	93,808
Due to operating/replacement fund	5,324	4,716	10,040	6,380
<b>Total current liabilities</b>	<b>124,612</b>	<b>4,716</b>	<b>129,328</b>	<b>125,063</b>
<b>Fund balances</b>				
Donated capital fund balance	382,604		382,604	382,604
Operating fund balance	54,816		54,816	( 332,498)
Replacement fund balance		587,676	587,676	878,148
<b>Total fund balances</b>	<b>437,420</b>	<b>587,676</b>	<b>1,025,096</b>	<b>928,254</b>
<b>Total liabilities and fund balances</b>	<b>\$562,032</b>	<b>\$592,392</b>	<b>\$1,154,424</b>	<b>\$1,053,317</b>

The accompanying notes are an integral part of these financial statements.

Please Enter Corporation Name: \_\_\_\_\_

**9. FINANCIAL DISCLOSURE (A.R.S. §§10-1622.B & 10-11622.A.9)**

Only nonprofit corporations must attach a financial statement (balance sheet including assets, liabilities and equity). All other forms of corporations are exempt from filing a financial disclosure.

**9A. MEMBERS (A.R.S. § 10-11622.A.6) Nonprofit Corporations Only**

This corporation **does**  **does not**  have members.

**10. CERTIFICATE OF DISCLOSURE (A.R.S. §§10-1622.A.8 & 10-11622.A.7)**

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been: [Underlined portion pertains to profit corporations only]

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Or are subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:

- (a) fraud or registration provisions of the securities laws of that jurisdiction, or
- (b) the consumer fraud laws of that jurisdiction, or
- (c) the antitrust or restraint of trade laws of that jurisdiction?

One box must be marked:

YES

NO

If "YES", the following information must be submitted as an attachment to this report for each person subject to one or more of the actions stated in Items 1. through 3. above.

- |   |   |
|---|---|
| 1. Full name and prior names used.                          | 5. Date and location of birth.  |
| 2. Full birth name.   | 6. Social Security Number   |
| 3. Present home address.                                    | 7. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved, and the file or cause number of the case. |
| 4. Prior addresses (for immediate preceding 7 year period). |   |

**11. STATEMENT OF BANKRUPTCY (A.R.S. §§10-202.D.2 & 10-3202.02)**

Has ANY person serving either by election or appointment as an officer, director, trustee, incorporator and person controlling or holding more than 20% of the issued and outstanding common shares or 20% of any other proprietary, beneficial or membership interest in the corporation served in such capacity or held a 20% interest in any other corporation during the bankruptcy, receivership, or charter revocation of the other corporation? [Underlined portion pertains to profit corporations only]

One box must be marked:

YES

NO

If YES, enter the following:

Chapter \_\_\_\_\_ Date Filed \_\_\_\_\_ Case Number \_\_\_\_\_

If "YES", the following information must be submitted as an attachment to this report for each person subject to the statement above

- 1) The names and addresses of each corporation and the person or persons involved.
- 2) The state in which each corporation was a) incorporated b) transacted business.
- 3) The dates of corporate operation.

**12. SIGNATURES**

**CAUTION:** Annual Reports must be signed by a duly authorized officer. Annual Reports submitted with incorrect signatures will be rejected.

I DECLARE, UNDER PENALTY OF LAW, THAT ALL CORPORATE INCOME TAX RETURNS REQUIRED BY TITLE 43 OF THE ARIZONA REVISED STATUTES HAVE BEEN FILED WITH THE ARIZONA DEPARTMENT OF REVENUE.

I further declare under penalty of law that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.

Name Jan Johnson Date 2-17-00 Name David D. Ross Date 2-17-00

Signature Jan Johnson Signature David D. Ross

Title President Title Secy

(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)