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# STATE OF ARIZONA CORPORATION COMMISSION
## CORPORATION ANNUAL REPORT & CERTIFICATE OF DISCLOSURE

AZ Corp. Commission
04236526

**DUE ON OR BEFORE** 04/17/2013 **FILING FEE** $10.00

**PLEASE READ ALL INSTRUCTIONS. The following information is required by A.R.S. §§10-1622 & 10-11622 for all corporations organized pursuant to Arizona Revised Statutes, Title 10. The Commission's authority to prescribe this form is A.R.S. §§ 10-121(A) & 10-3121(A). YOUR REPORT MUST BE SUBMITTED ON THIS ORIGINAL FORM. Make changes or corrections where necessary. Information for the report should reflect the current status of the corporation.**

-0070899-9

**1.** HEATHERBRAE HOUSE UNIT ONE HOMEOWNERS ASSOCIATION, INC.
7740 E HEATHERBRAE
SCOTTSDALE, AZ 85251

RECEIVED
APR 22 2013
ARIZONA CORP COMMISSION

**Business Phone:** _____ (Business phone is optional.)
**State of Domicile:** ARIZONA **Type of Corporation:** NON-PROFIT

**2.**

Statutory Agent: CURTIS EKMARK
Mailing Address: 6720 NO SCOTTSDALE RD #361
City, State, Zip: SCOTTSDALE, AZ 85253

Statutory Agent's Street or Physical Address, If Different.
Physical Address:
City, State, Zip:

**ACC USE ONLY**
Fee $ 10
Penalty $_____
Reinstate $_____
Expedite $_____
Resubmit $_____

*If appointing a new statutory agent, the new agent MUST consent to that appointment by signing below. Note that the agent address must be in Arizona.*

*I, (individual) or We, (corporation or limited liability company) having been designated the new Statutory Agent, do hereby consent to this appointment until my removal or resignation pursuant to law.*

_____
Signature of *new* Statutory Agent

_____
Printed Name of *new* Statutory Agent

**3. Secondary Address:**

(Foreign Corporations are REQUIRED to complete this section).

**4.** Check the one category below which best describes the CHARACTER OF BUSINESS of your corporation.

BUSINESS CORPORATIONS

- ☐ 1. Accounting
- ☐ 2. Advertising
- ☐ 3. Aerospace
- ☐ 4. Agriculture
- ☐ 5. Architecture
- ☐ 6. Banking/Finance
- ☐ 7. Barbers/Cosmetology
- ☐ 8. Construction
- ☐ 9. Contractor
- ☐ 10. Credit/Collection
- ☐ 11. Education
- ☐ 12. Engineering
- ☐ 13. Entertainment
- ☐ 14. General Consulting
- ☐ 15. Health Care
- ☐ 16. Hotel/Motel
- ☐ 17. Import/Export
- ☐ 18. Insurance
- ☐ 19. Legal Services
- ☐ 20. Manufacturing
- ☐ 21. Mining
- ☐ 22. News Media
- ☐ 23. Pharmaceutical
- ☐ 24. Publishing/Printing
- ☐ 25. Ranching/Livestock
- ☐ 26. Real Estate
- ☐ 27. Restaurant/Bar
- ☐ 28. Retail Sales
- ☐ 29. Science/Research
- ☐ 30. Sports/Sporting Events
- ☐ 31. Technology(Computers)
- ☐ 32. Technology(General)
- ☐ 33. Television/Radio
- ☐ 34. Tourism/Convention Services
- ☐ 35. Transportation
- ☐ 36. Utilities
- ☐ 37. Veterinary Medicine/Animal Care
- ☐ 38. Other _____

NON-PROFIT CORPORATIONS

1. ☐ Charitable
2. ☐ Benevolent
3. ☐ Educational
4. ☐ Civic
5. ☐ Political
6. ☐ Religious
7. ☐ Social
8. ☐ Literary
9. ☐ Cultural
10. ☐ Athletic
11. ☐ Science/Research
12. ☐ Hospital/Health Care
13. ☐ Agricultural
14. ☐ Cooperative Marketing Association
15. ☐ Animal Husbandry
16. ■ Homeowner's Association
17. ☐ Professional, commercial industrial or trade association
18. ☐ Other_____

# Annual Report Instruction Sheet - READ ME! PLEASE FOLLOW THESE DIRECTIONS!

This is the instruction sheet for the annual reporting process for all corporations doing business in Arizona. Every corporation must submit an annual report once a year. This annual report must be correctly filled out and submitted by the assigned due date or the corporation may be administratively dissolved or have its authority revoked by the State of Arizona. According to A.R.S. §10-1622(F), penalties accrue on for-profit corporation annual reports that are submitted late (after the due date). Corporations must use the annual report form prescribed by the Corporation Commission. **No other format is allowed.**

Please verify the business address, statutory agent, and agent address information on page one. Strike out incorrect information by placing a single line through it. Correct information should be legibly written above or to the side of struck, incorrect information. Complete the remainder of the form - use the corporation's original articles of incorporation, amendment documents and corporate minutes as guides for the questions about stock. IMPORTANT: The entirety of this document is public record, including addresses. ***Use black or blue ink.**

- ❑ **Section 1.** All corporations must state their name, address, zip code, domicile state, and type (e.g., nonprofit, business, sole, professional, business trust). Please list a business phone number.
- ❑ **Section 2.** All corporations must state the name and Arizona address of the current Statutory Agent for the corporation. Correct information about the Statutory Agent is vital to the legitimate authority and status of the corporation. The statutory agent must provide both an Arizona street address and a mailing address. If the Statutory Agent has a P.O. Box, then they must also provide an Arizona physical or street address. New Statutory Agents must consent to their appointment by signing the appropriate line. A corporation must amend their records at the Commission any time the Statutory Agent is changed or whenever the Agent's designated mailing address changes. Do not sign in the space provided, unless you are appointing a **new** agent.
- ❑ **Section 3.** Foreign (out-of-state/country) corporations must state their known place of business in this state and in the jurisdiction in which they are incorporated. List the primary address in Section 1, and the secondary address in Section 3.
- ❑ **Section 4.** All corporations must check the category that best describes the character of their corporation in the applicable business or nonprofit corporation area.
- ❑ **Section 5.** All for-profit corporations must indicate the number of shares which they have authorized and issued, the class and series. All business trusts must indicate the number of transferable certificates held by trustees.
- ❑ **Section 6.** All for-profit corporations must indicate the list of applicable shareholders.
- ❑ **Section 7.** Please list all principal officers. All corporations must have at least **one** duly authorized officer, with address.
- ❑ **Section 8.** Please list all directors. All corporations must have at least **one** director per A.R.S. §§10-803(A) & 10-3803(A).
- ❑ **Section 9.** All **Nonprofit** corporations whose annual report is due on or before September 25, 2008 must attach a statement of financial condition (e.g. income/expense statement, balance sheet including assets, liabilities). If the nonprofit corporation's annual report is due after September 25, 2008, no statement of financial condition is required. **Cooperative marketing associations** must in all cases submit a financial statement. All other types of corporations are exempt from filing a financial disclosure no matter when the annual report is due.
- ❑ **Section 9A. All Nonprofit Corporations** must also indicate whether or not the corporation has members.
- ❑ **Section 10.** All corporations must check either YES or NO in the Certificate of Disclosure, for both A and B. Those who check the "YES" box must supply the attachment required as explained in section 10.
- ❑ **Section 11.** All corporations must check either YES or NO in the Statement of Bankruptcy or Receivership. Those who check the "YES" box must supply the attachment required as explained in section 11.
- ❑ **Section 12.** All corporations must read the declarations in this section. If they have complied, and if they have completed the Annual Report, then the applicable officer(s) listed in section 7 must acknowledge by signing and dating the report. The signer(s) shall be at least one duly authorized officer.
- ❑ **Sign, Date & Mail the Check and Annual Report.** For-profit corporations must send **$45**, Nonprofit corporations **$10**. Credit cards are **not** accepted. Business or for-profit corporations are subject to penalties if their report is submitted after its assigned due date. Contact the Annual Report section at **602-542-3285 (Phoenix) or 520-628-6560 (Tucson)** or by FAX at **602-542-0082** for the penalty amount due.

| | |
|---|---|
| MAKE CHECK PAYABLE TO: | ARIZONA CORPORATION COMMISSION |
| MAIL OR DELIVER TO: | c/o Annual Reports - Corporations Division<br>1300 West Washington<br>Phoenix, Arizona 85007-2929 |

Seek professional advice from your accountant, attorney, or other knowledgeable source if you need help with any section. The Annual Reports Section of the Corporations Division cannot give legal or tax advice, but you may call them with your other questions regarding this form at (602) 542-3285. The Commission's web site (www.azcc.gov/Divisions/Corporations) has more general information about annual reports and reporting requirements.

**5. CAPITALIZATION:** (For-profit Corporations and Business Trusts are **REQUIRED** to complete this section.)

Business trusts must indicate the number of transferable certificates held by trustees evidencing their beneficial interest in the trust estate. PLEASE PRINT OR TYPE CLEARLY.

**5a.** Please examine the corporation's original Articles of Incorporation for the amount of **shares authorized.**

Number of Shares/Certificates **Authorized** | Class | Series Within Class (if any)

**5b.** Review all corporation amendments to determine if the original number of shares has changed. Examine the corporation's minutes for the number of **shares issued.**

Number of Shares/Certificates **Issued** | Class | Series Within Class (if any)

**6. SHAREHOLDERS:** (For-profit Corporations and Business Trusts are **REQUIRED** to complete this section.)

List shareholders holding more than 20% of any class of shares issued by the corporation, or having more than a 20% beneficial interest in the corporation.

**Name:** _____ **Name:** _____

NONE ☐

**Name:** _____ **Name:** _____

**7. OFFICERS** PLEASE TYPE OR PRINT CLEARLY. YOU MUST LIST AT LEAST ONE.

**Name:** THOMAS ROGERS
Title: PRESIDENT
Address: 7740 E HEATHERBRAE AVE #23
SCOTTSDALE, AZ 85251
Date taking office: 4/1/2007

**Name:** TJ ODELL
Title: SECRETARY
Address: 7740 E HEATHERBRAE AVE #4
SCOTTSDALE, AZ 85251
Date taking office: 3/6/2004

**Name:** BARBARA HOEYMANS
Title: TREASURER
Address: 7740 E HEATHERBRAE AVE #18
SCOTTSDALE, AZ 85251
Date taking office: 3/3/2003

**Name:**
Title:
Address:
Date taking office:

**8. DIRECTORS** PLEASE TYPE OR PRINT CLEARLY. YOU MUST LIST AT LEAST ONE.

**Name:** THOMAS ROGERS
Address: 7740 E HEATHERBRAE AVE #23
SCOTTSDALE, AZ 85251
Date taking office: 4/1/2007

**Name:**
Address:
Date taking office:

**Name:**
Address:
Date taking office:

**Name:**
Address:
Date taking office:

**9. FINANCIAL DISCLOSURE (A.R.S. §10-11622(A)(9))**

**Nonprofits** – if your annual report is due on or before September 25, 2008, you **must attach** a financial statement (e.g. income/expense statement, balance sheet including assets, liabilities). If your nonprofit annual report is due after September 25, 2008, a financial statement is not required. **Cooperative marketing associations** must in all cases submit a financial statement. All other forms of corporations are exempt from filing a financial statement no matter what date the annual report was due.

**ONLY NONPROFIT CORPORATIONS MUST ANSWER THIS QUESTION:**

**9A. MEMBERS (A.R.S. §10-11622(A)(6))** This corporation **DOES** ☐ **DOES NOT** ☑ have members.

**10. CERTIFICATE OF DISCLOSURE (A.R.S. §§ 10-202(D), 10-3202(D), 10-1622(A)(8) & 10-11622(A)(7))**

A. Has any person who is currently an officer, director, trustee, incorporator, or who, in a For-profit corporation, controls or holds more than 10% of the issued and outstanding common shares or 10% of any other proprietary, beneficial or membership interest in the corporation been:

1. Convicted of a felony involving a transaction in securities, consumer fraud or antitrust in any state or federal jurisdiction within the seven year period immediately preceding the execution of this certificate?
2. Convicted of a felony, the essential elements of which consisted of fraud, misrepresentation, theft by false pretenses or restraint of trade or monopoly in any state or federal jurisdiction within the seven year period immediately preceding execution of this certificate?
3. Subject to an injunction, judgment, decree or permanent order of any state or federal court entered within the seven year period immediately preceding execution of this certificate where such injunction, judgment, decree or permanent order involved the violation of:
   (a) fraud or registration provisions of the securities laws of that jurisdiction, or
   (b) the consumer fraud laws of that jurisdiction, or
   (c) the antitrust or restraint of trade laws of that jurisdiction?

**One box must be marked:** YES ☐ NO ☑

**If "YES" to A, the following information must be submitted** as an attachment to this report for each person subject to one or more of the actions stated in Items 1 through 3 above.

1. Full birth name.
2. Full present name and prior names used.
3. Present home address.
4. All prior addresses for immediately preceding 7 year period.
5. Date and location of birth.
6. The nature and description of each conviction or judicial action; the date and location; the court and public agency involved; and the file or cause number of the case.

B. Has any person who is currently an officer, director, trustee, incorporator, or who, in a For-profit corporation, controls or holds over 20% of the issued and outstanding common shares, or 20% of any other proprietary, beneficial or membership interest in the corporation, served in any such capacity or held a 20% interest in any other corporation on the bankruptcy or receivership of that other corporation?

**One box must be marked:** YES ☐ NO ☑

**If "YES" to B, the following information must be submitted** as an attachment to this report for each corporation subject to the statement above.

(a) Name and address of each corporation and the persons involved.
(b) State(s) in which it: (i) was incorporated and (ii) transacted business.
(c) Dates of corporate operation.

**11. STATEMENT OF BANKRUPTCY OR RECEIVERSHIP (A.R.S. §§ 10-1623 & 10-11623)**

A. Has the **corporation** filed a petition for bankruptcy or appointed a receiver? **One box must be marked:** YES ☐ NO ☑

**If "Yes" to A, the following information must be submitted** as an attachment to this report:

1. All officers, directors, trustees and major stockholders of the corporation within one year of filing the petition for bankruptcy or the appointment of a receiver. If a major stockholder is a corporation, the statement shall list the current president, chairman of the board of directors and major stockholders of such corporate stockholder. "Major stockholder" means a shareholder possessing or controlling twenty per cent of the issued and outstanding shares or twenty per cent of any proprietary, beneficial or membership interest in the corporation.
2. Whether any such person has been an officer, director, trustee or major stockholder of any other corporation within one year of the bankruptcy or receivership of the other corporation. If so, for each such corporation give:
   (a) Name and address of each corporation;
   (b) States in which it: (i) was incorporated and (ii) transacted business.
   (c) Dates of operation.

**12. SIGNATURES:** Annual Reports must be signed and dated by at least one duly authorized officer or they will be rejected.

**I declare, under penalty of perjury, that all corporate income tax returns required by Title 43 of the Arizona Revised Statutes have been filed with the Arizona Department of Revenue. I further declare under penalty of perjury that I (we) have examined this report and the certificate, including any attachments, and to the best of my (our) knowledge and belief they are true, correct and complete.**

**Name** BARBARA HOFFMANS **Date** 4/17/13 **Name** _____ **Date** _____

**Signature** Barbara Hoffmans **Signature** _____

**Title** TREASURER **Title** _____

**(Signator(s) must be duly authorized corporate officer(s) listed in section 7 of this report.)**